

COMPOSITIONS AND METHODS FOR  
ALTERING THE DISULFIDE STATUS OF PROTEINS

CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Application No. 60/250,703, filed December 1, 2000, which is hereby incorporated herein in its entirety by reference.

FIELD OF THE INVENTION

The present invention relates to the field of plant molecular biology, particularly to the isolation of genes. The invention further relates to the use of the genes to improve crop plants.

BACKGROUND OF THE INVENTION

The United States is the world-wide leader in corn (maize) production, producing about twice as much corn as the second-ranked producer. By far, corn is the most important grain crop in the United States. According to USDA estimates, corn ranked first in 1998 in acreage accounting for 24 percent of all crop acres in the United States. Nearly twice as many bushels of corn are produced per year in the U.S. than are produced for any other grain crop.

Despite the large amount of corn produced in the United States, only a minor portion of this corn is used directly for human consumption. The impact of corn on the American diet, however, is certainly not insignificant. Indirectly, corn has a profound impact on the American diet. Because the majority of the United States corn crop is used

as feed for livestock, much of the meat consumed in the United States comes from animals that have been fed a diet that includes corn. In addition, corn also impacts the American diet in products that are come from the processing or refining of corn kernels. Key among the food products derived from corn refining are starch, corn oil and corn  
5 syrup. Produced from the hydrolysis of starch, corn syrup is used primarily as a sweetener, often replacing cane sugar (sucrose) in food and beverage products. While a variety of corn syrups may be produced from corn starch, the most popular form for use as a sweetener is high-fructose corn syrup. Over the last decade, high-fructose corn syrup has become the favored sweetener for many food products including most of the leading  
10 soft drinks.

Because of the importance of corn, plant breeders and other agricultural scientists have focused a great deal of their efforts on improving corn germplasm. While traditional efforts to improve corn have provided cultivars with improved yield potential and enhanced disease resistance, new approaches involving genetic engineering have  
15 already proven successful and have provided the corn producer new varieties with increased resistance to herbicides and insects. Other corn improvement efforts have focused on improving grain quality traits such as amino acid content, starch composition, oil content and composition, phytate content, and characteristics which affect corn refining processes such as wet milling. While traditional plant breeding approaches will  
20 continue to be relied upon for corn improvement, genetic engineering promises to provide novel approaches that can increase the rate of new cultivar development.

### SUMMARY OF THE INVENTION

Compositions and methods for the alteration of the disulfide status of plant  
25 proteins are provided. The compositions comprise novel nucleotide molecules comprising nucleotide sequences for thioredoxin *h* and NADPH-thioredoxin reductase. The compositions can be used to transform plants alone or in combination with other sequences, such as sequences encoding NADPH generating enzymes, to manipulate the thioredoxin *h* system and alter the protein disulfide status in plants.

30 Transformed plants, plant cells, plant tissues, seed and grain are provided. Transformed plants of the invention find use in methods for improving grain or seed

characteristics including, but not limited to, hardness, wet-milling efficiency, dry milling efficiency, dry grind ethanol production efficiency and digestibility.

Expression cassettes comprising sequences of the invention are provided.

Isolated proteins encoded by the nucleotide sequences of the invention are also provided.

5

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a graphical depiction of the digestibility of maize kernels (grain) at the late dough stage and the black layer stage. The figure shows the improvement in grain and immature kernel dry matter digestibility as a result of treatment with reducing agent.

10 Digestibility was determined as enzyme digestible dry matter percent (EDDM%) with and without a dithiothreitol (DTT) pretreatment.

Figure 2 is a photographic representation of the results of a Western dot blot analysis to assess the expression levels of NTR protein in the mature endosperm of individual T<sub>3</sub> maize kernels. The kernels were harvested from a single T<sub>2</sub> plant transformed with the an NTR nucleotide sequence of the invention operable linked to the gamma zein promoter (Construct 2) as described in Example 2.

15

Figure 3 is a graphical depiction of the digestibility of maize kernels which have been designated as displaying no expression, intermediate expression and high expression of NTR in endosperm based on the results of the Western dot blot analysis depicted in Figure 2. The figure shows that, in the absence of dithiothreitol (DTT), the expression of NTR in kernels is positively correlated with the digestibility of the kernels.

20

#### DETAILED DESCRIPTION OF THE INVENTION

The invention is drawn to compositions and methods for altering disulfide status of proteins, particularly proteins in plants. The compositions and methods find use in improving the nutritional quality of plant proteins for use as food for humans and feed for livestock. Furthermore, the invention provides plants that possess traits such as, for example, grain that is easier to digest by both humans and livestock and grain that is improved for wet milling and other grain processing methods. As used herein, "grain" means the mature seed produced by commercial growers for purposes other than

25

30

10065429.120301

reproducing the species and/or immature seed as an integral part of whole plant corn harvested for silage.

By "disulfide status" is intended the portion of cysteine residues within a protein which participate in disulfide bonds or disulfide bridges. Such disulfide bonds can be formed between the sulfur of a first cysteine residue and the sulfur of a second cysteine residue. It is recognized that such first and second cysteine residues can occur as part of a single polypeptide chain, or alternatively, can occur on separate polypeptide chains.

Thioredoxins are important regulators of disulfide status and folding patterns of enzymes and other proteins. The chloroplastic thioredoxins (*m* and *f*) function as regulators of enzymes of photosynthesis and the pentose phosphate cycle. Thioredoxin *h* (TRX), which is localized in cytoplasm, plays a central role in initiating the mobilization of nitrogen and carbon during seed germination (Kobrehel *et al.*, 1992; Lozano *et al.*, 1996). Activation of thioredoxin *h* requires reduction of its own intramolecular disulfide bridge by a specific, NADPH-dependent thioredoxin reductase (NTR). The NTR, in turn, requires adequate reducing equivalents in the form of NADPH. The cytosolic forms of 6-phosphogluconate dehydrogenase (6PGDH) and glucose 6-phosphate dehydrogenase (G6PDH) have been implicated to provide the necessary NADPH to drive NTR and TRX activity during germination of wheat (Lozano *et al.*, 1996).

The invention provides isolated nucleotide molecules comprising nucleotide sequences encoding thioredoxins and thioredoxin reductases. Also provided are isolated proteins encoded by such nucleotide sequences. The nucleotide sequences find use in methods for altering the disulfide status of proteins in a plant, particularly proteins in maize plants, most particularly proteins in maize kernels. The methods find use in altering the disulfide status of storage proteins, in improving the digestibility of grain by humans and livestock, in reducing nitrogen excretion into the environment, in altering the hardness of seed and grain and in increasing the efficiency of the wet-milling, steam flaking and grinding of maize kernels.

Methods for altering the disulfide status of proteins are provided. The methods comprise transforming a plant with at least one nucleotide construct comprising at least a portion of at least one nucleotide sequence of the invention. If desired, the nucleotide construct may additionally comprise an operably linked promoter that drives expression

in the plant of interest. Such a nucleotide construct can be used to increase the expression of a thioredoxin and/or thioredoxin reductase in a plant. By increasing the expression of enzymes that are involved in the reduction of protein disulfides, the disulfide bridges in a plant can be decreased, rearranged or both.

- 5 By "rearranged" is intended that the one of the participating sulfurs in a disulfide bond is changed. For example, a pair of disulfide bonds with the first bond between the sulfur of a first cysteine moiety and the sulfur of a second cysteine moiety and the second bond between the sulfur of a third cysteine moiety and the sulfur of a fourth cysteine moiety, is "rearranged" to a new first disulfide bond between the sulfur of the first  
10 cysteine moiety and the sulfur of the third cysteine residue and a new second disulfide bond the sulfur of the second cysteine moiety and the sulfur of the fourth cysteine residue.

- Among the many applications of cereal protein disulfide reduction and/or re-  
arrangement by the methods of the present invention are the strengthening of wheat  
15 dough and improvement of baked goods, neutralization of food allergens, and increased digestibility of proteins. Altering the disulfide status of proteins in a plant or part thereof can provide improved food sources for humans and livestock by improving the digestibility of the plant tissue. The methods of the invention can improve protein digestibility and also increase starch utilization by increasing the release of starch  
20 granules from grain during digestion.

- The nucleotide constructs of the invention comprise at least a portion of a nucleotide sequence of the invention. The nucleotide construct of the invention may additionally comprise at least one promoter that drives expression in a plant. Preferred promoters include those that drive gene expression in seeds, particularly during seed  
25 development. More preferred promoters are the promoters of the 19 KD  $\alpha$ -zein gene and the 70 KD heat-shock gene. Another preferred promoter is the promoter for the 27 KD gamma-zein gene. A nucleotide construct of the invention comprises at least one nucleotide sequence of the invention. Preferably, such a nucleotide construct additionally comprises an operably linked promoter that drives expression in a plant. If desired, two  
30 or more of such nucleotide constructs may be linked or joined together to form one polynucleotide molecule, and such a polynucleotide may be used to transform a plant.

For example, a nucleotide construct comprising a nucleotide sequence encoding a thioredoxin *h* (SEQ ID NOs: 1, 3, 5, 7, 9, 11, 13, 15, 17, or 18) and a nucleotide construct comprising a nucleotide sequence encoding a thioredoxin reductase (SEQ ID NOs: 20, 22, and 24) may be linked to form a single polynucleotide molecule which can be used to transform a plant.

Depending on the desired outcome, a plant can be transformed with a single thioredoxin or thioredoxin reductase nucleotide sequence of the invention. Alternatively, a plant can be transformed with a thioredoxin nucleotide sequence and a thioredoxin reductase nucleotide sequence. The two nucleotide sequences can be part of the same nucleotide construct or on different nucleotide constructs. Each of the separate nucleotide sequences can be operably linked to a promoter that drives expression in a plant. If separate nucleotide constructs are employed for the thioredoxin nucleotide thioredoxin reductases nucleotide sequences, two individual plants may be transformed with the nucleotide constructs, and the plants crossed to produce progeny having the desired genotype of both the thioredoxin and thioredoxin reductase nucleotide sequences.

The reduction of disulfides in a plant depends on reducing equivalents, such as for example, NADH and NADPH. If desired, a plant can also be transformed with one or more additional nucleotide sequences encoding NADPH-generating enzymes to increase the biosynthesis of NADPH. Such enzymes include, but are not limited to, 6-phosphogluconate dehydrogenase and glucose-6-phosphate dehydrogenase. Any nucleotide sequence encoding 6-phosphogluconate dehydrogenase or glucose-6-phosphate dehydrogenase can be employed in the methods of the present invention including nucleotide sequences encoding plastidic and cytosolic forms of such enzymes. Such nucleotide sequences can be operably linked to a promoter that drives expression in a plant and, if necessary, can be operably linked to a plastid-targeting sequence. Alternatively, a plastid-targeting signal can be removed from the nucleotide sequence of a plastid-localized NADPH-generating enzyme to change the localization of such an enzyme to the cytosol. Nucleotide sequences encoding 6-phosphogluconate dehydrogenase include, but are not limited to, GenBank Accession Nos. AF061838, AF061837 and U18239, and DDBJ Accession No. AB007907. Nucleotide sequences encoding glucose-6-phosphate dehydrogenase include, but are not limited to, GenBank

1005429.120301

Accession Nos. AF012861, AF012862, AF012863, and U18238, and EMBL Accession Nos. AJ001359, AJ001769, AJ001770, AJ001771, AJ001772, X74421, X83923, X84229 and X84230.

5       The methods of the present invention can be employed to alter the disulfide status of proteins in any plant or part thereof. The preferred plants of the invention are cereals including, but not limited to, maize, wheat, rice, barley, rye, sorghum, oats and millet. Some methods of the invention involve altering the disulfide status of proteins in grain and other parts of a plant that may be subjected to post-harvest processing or can be used as food source for humans, livestock and other animals.

10       In one embodiment, the invention provides cereal plants that produce grain, particularly maize kernels, that are improved for use in industrial processing by methods such as, for example, wet milling. The corn wet-milling process requires steeping with large amounts of sulfur-reducing chemicals (e.g., sulfur dioxide) to reduce the disulfides present in corn kernels and thereby maximize starch yield (Hoseney, R.C. 1994.

15 Principles of Cereal Science and Technology, second edition. Am. Assoc. Cereal Chemists, St. Paul, Minnesota). By decreasing the disulfide status of grain, the amount of sulfur-reducing chemicals used in wet milling can be decreased.

20       Furthermore, a reduction in the amount of reducing chemicals or agents can provide other benefits. The use in wet mills of odorous chemicals such as sulfur dioxide and bisulfite requires extensive precautions and poses significant environmental problems. (May, J.B. Wet milling: process and products. In: Corn: Chemistry and Technology. Watson, S.A., and Ramstad, P.E. (Eds.). Am. Assoc. Cereal Chemists, St. Paul, Minnesota. pp.377-397.) For example, decreasing the amount of reducing agents required for wet milling can provide an environmental benefit in that the resulting

25 process water will contain a lower level of the reducing chemicals, thereby lowering the costs of decontamination or disposal of the spent process water. Another benefit is that a lower level of reducing chemicals in the process water can improve the recovery of valuable components of kernels that otherwise would be destroyed, denatured, or otherwise negatively impacted by the presence of a standard level of reducing chemicals

30 in the process water. Such components include, but are not limited to, vitamins, cofactors and proteins. The wet miller may also obtain by-products of the wet milling process,

such as corn gluten feed and corn gluten meal, with improved digestibility as a result of the invention. Thus, the wet miller can obtain new sources of revenue from the recovery of such components and by-products.

5 Additionally, decreasing the rigidity of the continuous protein matrix late in kernel development can reduce the amount of energy required to grind cereal grain or in steam-flaking corn or sorghum, as well as increase the efficiency of these processes. The response to steam-flaking of corn and sorghum grain is negatively correlated with protein disulfide content (Blackwood, R.B., and Richardson, C.R. 1994. Steam-flaking and grain source effects on disulfide bonds in grain sorghum and corn. In: Animal Science and  
10 Food Technology Research Report 1994. Agricultural Sciences and Natural Resources Technical Report No. T-5-342. Texas Tech University, Lubbock, Texas, pp. 49-51). For corn or sorghum with lower degree of protein disulfide cross-linking, the extent of disulfide rearrangements during processing is reduced, which allows for higher and more uniform response to steam-flaking, and which can be expected to reduce the energy  
15 required in steam-flaking, as well as in grinding. Furthermore, the feed quality of the grain will be improved by reduced endosperm rigidity, allowing for reduced particle size of ground corn under fixed grinding regime.

In addition, dry grind ethanol production is improved by the use of the invention described herein. This improvement may be due to increased fermentable starch  
20 available for ethanol production. Dry grind ethanol production may also be improved as a result of the increased digestibility, and therefore increased value, of fermentation by-products such spent brewer's grain or thin stillage.

A cereal plant, particularly a maize plant, can be transformed with a nucleotide construct of the invention to reduce protein disulfides during kernel development. To  
25 reduce kernel hardness, the plant can be transformed with a nucleotide construct comprising a promoter that drives expression in the kernel during the later stages of grain fill and maturation. Overexpression of thioredoxin *h* and/or NADPH thioredoxin reductase at late stages of grain fill and maturation (e.g., 35-45 DAP) to chemically reduce intra- and inter-molecular disulfide bridges in endosperm proteins such as, for  
30 example, zeins, purothionins and glutenins, can decrease the rigidity of the continuous protein matrix. Such a modification to the kernel can, for example, improve feed quality



- by increasing digestibility. Protein digestibility of ground corn and sorghum is limited by protein disulfide crosslinking. (See Hamaker, B.R., Kirleis, A.W., Butler, L.G., Axtell, J.D., and Mertz, E.T. 1987. Improving the *in vitro* protein digestibility of sorghum with reducing agents. Proc. Natl. Acad. Sci. USA 84: 626-628). Hamaker et al. demonstrate
- 5 that application of reducing agents to ground corn or sorghum improves protein digestibility. As shown in Figure 1, Applicants have further determined that application of reducing agents to mature grain and immature kernels improves overall dry matter digestibility and starch digestibility. These findings apply to mature grain fed to monogastric and ruminant livestock, as well as to ruminant feed in the form of corn silage
- 10 and high moisture corn. The latter two types of feed are minimally processed (e.g., not subjected to grinding), which allows reducing conditions to be maintained, whereby the reoxidation of sulfhydryl groups will be minimized. In corn silage, reducing conditions are actually promoted by exclusion of oxygen and rapid initiation of fermentative processes.
- 15 Additionally, decreasing the rigidity of the continuous protein matrix late in kernel development can reduce the amount of energy required to grind corn and/or improve feed quality by reducing the particle size of ground corn under fixed grinding regime. Decreasing the rigidity of the continuous protein matrix can also provide benefits for the processing of corn kernels by increasing the recovery of starch during wet
- 20 milling and by reducing the need for chemical reductants during the steeping which precedes wet milling.
- In an embodiment of the invention, a cereal plant, particularly a maize plant, is provided that produces kernels with increased starch content and a softer protein texture. Such a plant comprises in its genome at least one nucleotide construct of the invention comprising a nucleotide sequence of the invention. Increased expression of thioredoxin and/or thioredoxin reductase at relatively early to mid-stages of grain fill (20-40 DAP) can delay the formation and re-arrangement of storage protein disulfides and reduce the hardness of corn kernels, with hardness of corn kernels determined by the industry standard Stenvert hardness test (see Pomeranz, Y., Czuchajowska, Z., Martin, C.R., and Lai, F.S. 1985. Determination of corn hardness by the Stenvert hardness tester. Cereal Chem. 62:108-112).
- 25
- 30

Yeast and mammalian thioredoxins are also implicated to protect cells from oxidative stress and inhibit apoptosis by activation of thioredoxin peroxidase (Zhang *et al.* (1997) *J. Biol. Chem.* 272:30615-30618; Verdouca *et al.* (1999) *J. Biol. Chem.* 274:19714-19722). If thioredoxin *h* is similarly involved in regulation of apoptosis in plants and, more specifically, developing seed, it can be employed to delay the maturation of corn endosperm. This delay in maturation may result in higher starch yield and may also alter the degree to which protein disulfides are re-formed during maturation and dry-down of the kernel, and thus provide increased starch content and a softer protein texture. Such improvements in the characteristics of the kernel can increase the availability of starch for digestion by livestock and in the wet milling process. Thus, the invention provides kernels with increased energy availability for animals, particularly livestock, and kernels which can be used to increase the efficiency of wet milling via increased starch extractability and/or decreased use of chemical reductants.

For clarification, the terms "energy value", "energy availability", "Digestibility" and "Protein Degradability" are defined herein.

The "energy value" of a feed or food, also termed "metabolizable energy (ME) content" is largely determined by energy density (also termed content of "gross energy") and by energy availability. In energy metabolism, the amount of "gross energy" (GE) minus the fecal loss equals "digestible energy" (DE). The amount of "digestible energy" minus the urinary loss equals "metabolizable energy" (ME). The amount of "metabolizable energy" minus heat loss equals "net energy" (NE), which is available to the animal for maintenance and production. See also: Wiseman, J., and Cole, D.J.A. 1985. Energy evaluation of cereals for pig diets. In: Recent Developments in Pig Nutrition. Cole, D.J.A., and Haresign, W. (Eds.), Butterworths, London, pp. 246-262.

"Energy availability" is the degree to which energy-rendering nutrients are available to the animal, often referred to as energy conversion (ratio of metabolizable energy to gross energy or the ratio of net energy to gross energy). Energy availability can be determined with in vivo balance trials, in which excreta are collected by standard methodology (e.g., Sibbald, I.R. 1976. A bioassay for true metabolizable energy in feedstuffs. Poultry Sci. 55:303-308; McNab, J.M., and Blair, J.C. 1988. Modified assay for true and apparent metabolizable energy based upon tube feeding. Br. J. Poultry Sci.

- 29:697-707; Morgan, D.J., Cole, D.J.A., and Lewis, D. 1975. Energy values in pig nutrition. I. The relationship between digestible energy, metabolizable energy, and total digestible nutrient values of a range of feedstuffs. J. Agric. Sci. 84:7-17). Energy availability is largely determined by nutrient digestibility in the gastro-intestinal tract, although other factors such as absorption and metabolic utilization also influence energy availability.

- "Digestibility" is defined as the fraction of the feed or food that is not excreted as feces. It can be further defined as digestibility of specific components (such as energy or protein) by determining the concentration of these components in the foodstuff and in the excreta. Digestibility can be estimated using in vitro assays, which is routinely done to screen large numbers of different food ingredients and plant varieties. In vitro techniques, including assays with rumen inocula and/or enzymes for ruminant livestock (e.g., Tilley, J.M.A., and Terry, R.A. 1963. A two-stage technique for the in vitro digestion of forage crops. J. Brit. Grassl. Soc. 18:104-111; Pell, A.N., and Schofield, P. 1993. Computerized monitoring of gas production to measure forage digestion in vitro. J. Dairy Sci. 76:1063-1073) and various combinations of enzymes for monogastric animals reviewed in Boisen and Eggum (1991) are also useful techniques for screening transgenic materials for which only limited sample is available. (See Boisen, S., and Eggum, B.O. 1991. Critical Evaluation of in vitro methods for estimating digestibility in simple-stomach animals. Nutr. Res. Rev. 4:141-162).

- "Protein Degradability" is defined as the degree to which protein is degraded in a part of the gastrointestinal tract. For example, ruminal protein degradability means the degree to which protein is degraded in the rumen of a ruminant animal. There are a number of assays useful for determining protein degradation, for examples see Mertens, D.R., Rate and Extent of Digestion (pps 13-51), Eds. Forbes, J.N. and France, J. *Quantitative Aspects of Ruminant Digestion and Metabolism*, 1993, CAB International).

- Methods for assessing the digestibility and/or energy availability of animal feeds are known in the art. Such methods can be used to determine the digestibility and/or energy availability of the plant parts of the invention, particularly grain. See, for example, Boisen and Fernandez (1997) *Animal Feed Sci. Technol.* 68:277; herein incorporated by reference.

The nucleotide constructs of the invention can also be used to decrease or suppress the expression of endogenous thioredoxin and/or thioredoxin reductases in a plant. Decreasing the expression of enzymes that are involved in the reduction of protein disulfides can limit the reduction of protein disulfides in a plant and can result in an increase in protein disulfides.

The methods of the invention can be used to produce a cereal plant, particularly a maize plant with increased grain hardness. The methods involve increasing the degree of protein disulfide formation during grain fill. Such methods can be used to improve the agronomic properties of, for example, soft-textured maize, particularly *opaque-2* or *floury-2*. Although soft-textured maize such as *opaque-2* or *floury-2* has a higher feeding value, the agronomic properties are suboptimal. Compared to conventional maize varieties, *opaque-2* or *floury-2* varieties generally display reduced disease resistance and increased grain breakage during handling. By reducing or eliminating the level and/or activity of TRX or NTR in maize kernels, the excessively soft kernels of *opaque-2* or *floury-2* genotypes can be ameliorated. Thus, the methods of the invention find use in increasing the hardness of soft-textured grain by increasing the degree of protein disulfides formed during grain fill.

Similarly, the methods of the invention can be used to increase the hardness of maize kernels to produce kernels with reduced energy availability and digestibility. Such kernels will have a reduced effective caloric content when digested by animals, and thus find use in the production of diet foods for humans and pets.

The methods of the invention for increasing grain hardness of a plant involve reducing or suppressing the level or activity of at least one protein involved in disulfide bond reduction in a plant seed, preferably during seed development or grain fill. Preferred proteins are thioredoxin h's and thioredoxin reductases, particularly those thioredoxin h's and thioredoxin reductases which occur in seed and grain. The plant can be transformed with the TRX or NTR nucleotide sequences in the sense orientation for co-suppression or sense suppression of gene expression. Alternatively, the plant can be transformed with the TRX or NTR nucleotide sequences in the antisense orientation for antisense suppression. Disulfide formation can also be suppressed by modifying genomic sequences in plant by chimera-plasty. Generally, such modifications will alter the amino

acid sequence of the proteins encoded by the genomic sequence as to reduce or eliminate the activity of a TRX or NTR in a plant, particularly in a seed, more particularly in a developing seed.

Compositions of the invention include nucleotide sequences encoding TRX and  
5 NTR proteins that are involved in regulating the disulfide status of proteins. In particular, the present invention provides for isolated nucleic acid molecules comprising nucleotide sequences encoding the amino acid sequences shown in SEQ ID NOs:2, 4, 6, 8, 10, 12, 14, 16, 19, 21, 23, and 25 or the nucleotide sequences encoding the DNA sequences deposited in a bacterial host as Patent Deposit Nos. PTA-2428. Further provided are  
10 polypeptides having an amino acid sequence encoded by a nucleic acid molecule described herein, for example those set forth in SEQ ID NOs: 1, 3, 5, 7, 9, 11, 13, 15, 17, 18, 20, 22 and 24, those deposited in a bacterial host as Patent Deposit No. PTA-2428, and fragments and variants thereof.

Plasmids containing the nucleotide sequences of the invention, particularly SEQ  
15 ID NOs: 5, 7, 9, 11, 13, 20, 22 and 24, were deposited on August 29, 2000 with the Patent Depository of the American Type Culture Collection (ATCC), Manassas, Virginia, and assigned Patent Deposit Nos. PTA-2428. The deposit will be maintained under the terms of the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure. The deposit was made merely as a  
20 convenience for those of skill in the art and are not an admission that a deposit is required under 35 U.S.C. §112.

The invention encompasses isolated or substantially purified nucleic acid or protein compositions. An "isolated" or "purified" nucleic acid molecule or protein, or biologically active portion thereof, is substantially free of other cellular material, or  
25 culture medium when produced by recombinant techniques, or substantially free of chemical precursors or other chemicals when chemically synthesized. Preferably, an "isolated" nucleic acid is free of sequences (preferably protein encoding sequences) that naturally flank the nucleic acid (i.e., sequences located at the 5' and 3' ends of the nucleic acid) in the genomic DNA of the organism from which the nucleic acid is derived. For  
30 example, in various embodiments, the isolated nucleic acid molecule can contain less than about 5 kb, 4 kb, 3 kb, 2 kb, 1 kb, 0.5 kb, or 0.1 kb of nucleotide sequences that

naturally flank the nucleic acid molecule in genomic DNA of the cell from which the nucleic acid is derived. A protein that is substantially free of cellular material includes preparations of protein having less than about 30%, 20%, 10%, 5%, (by dry weight) of contaminating protein. When the protein of the invention or biologically active portion thereof is recombinantly produced, preferably culture medium represents less than about 30%, 20%, 10%, or 5% (by dry weight) of chemical precursors or non-protein-of-interest chemicals.

Fragments and variants of the disclosed nucleotide sequences and proteins encoded thereby are also encompassed by the present invention. By "fragment" is intended a portion of the nucleotide sequence or a portion of the amino acid sequence and hence protein encoded thereby. Fragments of a nucleotide sequence may encode protein fragments that retain the biological activity of the native protein and hence TRX or NTR activity. Alternatively, fragments of a nucleotide sequence that are useful as hybridization probes generally do not encode fragment proteins retaining biological activity. Thus, fragments of a nucleotide sequence may range from at least about 20 nucleotides, about 50 nucleotides, about 100 nucleotides, and up to the full-length nucleotide sequence encoding the proteins of the invention.

A fragment of a TRX nucleotide sequence that encodes a biologically active portion of a TRX protein of the invention will encode at least 15, 25, 30, 50, 75, 100, or 125 contiguous amino acids, or up to the total number of amino acids present in a full-length TRX protein of the invention (for example, 128, 128, 63, 134, 123, 122, 126, 122, and 122 amino acids for SEQ ID NO:2, 4, 6, 8, 10, 12, 14, 16, and 19, respectively). Fragments of a TRX nucleotide sequence that are useful as hybridization probes or PCR primers generally need not encode a biologically active portion of a TRX protein.

Thus, a fragment of a TRX nucleotide sequence may encode a biologically active portion of a TRX protein, or it may be a fragment that can be used as a hybridization probe or PCR primer using methods disclosed below. A biologically active portion of a TRX protein can be prepared by isolating a portion of one of the TRX nucleotide sequences of the invention, expressing the encoded portion of the TRX protein (e.g., by recombinant expression *in vitro*) and assessing the activity of the encoded portion of the TRX protein. Nucleic acid molecules that are fragments of an TRX nucleotide sequence

comprise at least 16, 20, 50, 75, 100, 150, 200, 250, 300, 350, 400, 450, 500, 550, 600, 650, or 700 nucleotides, or up to the number of nucleotides present in a full-length TRX nucleotide sequence disclosed herein (for example, 797, 799, 367, 720, 722, 727, 700, 658, 580, and 590 nucleotides for SEQ ID NOs:1, 3, 5, 7, 9, 11, 13, 15, 17, and 18, 5 respectively).

A fragment of an NTR nucleotide sequence that encodes a biologically active portion of an NTR protein of the invention will encode at least 15, 25, 30, 50, 100, 150, 200, 250, or 300 contiguous amino acids, or up to the total number of amino acids present in a full-length NTR protein of the invention (for example, 244, 111, and 331 amino acids 10 for SEQ ID NOs:21, 23, and 25, respectively). Fragments of an NTR nucleotide sequence that are useful as hybridization probes or PCR primers generally need not encode a biologically active portion of an NTR protein.

Thus, a fragment of an NTR nucleotide sequence may encode a biologically active portion of an NTR protein, or it may be a fragment that can be used as a 15 hybridization probe or PCR primer using methods disclosed below. A biologically active portion of an NTR protein can be prepared by isolating a portion of one of the NTR nucleotide sequences of the invention, expressing the encoded portion of the NTR protein (e.g., by recombinant expression *in vitro*), and assessing the activity of the encoded portion of the NTR protein. Nucleic acid molecules that are fragments of an 20 NTR nucleotide sequence comprise at least 16, 20, 50, 75, 100, 150, 200, 250, 300, 350, 400, 450, 500, 550, 600, 650, 700, 800, 900, 1,000, 1,100, 1,200, 1,300, or 1,400 nucleotides, or up to the number of nucleotides present in a full-length NTR nucleotide sequence disclosed herein (for example, 948, 556, and 1336 nucleotides for SEQ ID NOs:20, 22, and 24, respectively).

By "variants" is intended substantially similar sequences. For nucleotide 25 sequences, conservative variants include those sequences that, because of the degeneracy of the genetic code, encode the amino acid sequence of one of the TRX or NTR polypeptides of the invention. Naturally occurring allelic variants such as these can be identified with the use of well-known molecular biology techniques, as, for example, 30 with polymerase chain reaction (PCR) and hybridization techniques as outlined below. Variant nucleotide sequences also include synthetically derived nucleotide sequences,

1005429.120301

such as those generated, for example, by using site-directed mutagenesis but which still encode a TRX or NTR protein of the invention. Generally, variants of a particular nucleotide sequence of the invention will have at least about 80% generally at least about 85%, preferably at least about 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, and more preferably at least about 98%, 99% or more sequence identity to that particular nucleotide sequence as determined by sequence alignment programs described elsewhere herein using default parameters.

By "variant" protein is intended a protein derived from the native protein by deletion (so-called truncation) or addition of one or more amino acids to the N-terminal and/or C-terminal end of the native protein; deletion or addition of one or more amino acids at one or more sites in the native protein; or substitution of one or more amino acids at one or more sites in the native protein. Variant proteins encompassed by the present invention are biologically active, that is they continue to possess the desired biological activity of the native protein, that is, TRX or NTR activity as described herein. Such variants may result from, for example, genetic polymorphism or from human manipulation. Biologically active variants of a native TRX or NTR protein of the invention will have at least about 60%, 65%, 70%, generally at least about 75%, 80%, 85%, preferably at least about 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, and more preferably at least about 98%, 99% or more sequence identity to the amino acid sequence for the native protein as determined by sequence alignment programs described elsewhere herein using default parameters. A biologically active variant of a protein of the invention may differ from that protein by as few as 1-15 amino acid residues, as few as 1-10, such as 6-10, as few as 5, as few as 4, 3, 2, or even 1 amino acid residue.

The proteins of the invention may be altered in various ways including amino acid substitutions, deletions, truncations, and insertions. Methods for such manipulations are generally known in the art. For example, amino acid sequence variants of the TRX or NTR proteins can be prepared by mutations in the DNA. Methods for mutagenesis and nucleotide sequence alterations are well known in the art. See, for example, Kunkel (1985) *Proc. Natl. Acad. Sci. USA* 82:488-492; Kunkel *et al.* (1987) *Methods in Enzymol.* 154:367-382; US Patent No. 4,873,192; Walker and Gastra, eds. (1983) *Techniques in Molecular Biology* (MacMillan Publishing Company, New York) and the references cited



therein. Guidance as to appropriate amino acid substitutions that do not affect biological activity of the protein of interest may be found in the model of Dayhoff *et al.* (1978) *Atlas of Protein Sequence and Structure* (Natl. Biomed. Res. Found., Washington, D.C.), herein incorporated by reference. Conservative substitutions, such as exchanging one amino acid with another having similar properties, may be preferred.

Thus, the genes and nucleotide sequences of the invention include both the naturally occurring sequences as well as mutant forms. Likewise, the proteins of the invention encompass both naturally occurring proteins as well as variations and modified forms thereof. Such variants will continue to possess the desired TRX or NTR activity. Obviously, the mutations that will be made in the DNA encoding the variant must not place the sequence out of reading frame and preferably will not create complementary regions that could produce secondary mRNA structure. See, EP Patent Application Publication No. 75,444.

The deletions, insertions, and substitutions of the protein sequences encompassed herein are not expected to produce radical changes in the characteristics of the protein. However, when it is difficult to predict the exact effect of the substitution, deletion, or insertion in advance of doing so, one skilled in the art will appreciate that the effect will be evaluated by routine screening assays. That is, the activity can be evaluated by assays for TRX activity or NTR activity. See, for example, Jacquot *et al.* (1995) *Meth. Enzymol.* 252: 240-252, Gautier *et al.* (1998) *Eur. J. Biochem.* 252:314-324 and Holmgren (1979) *J. Biol. Chem.* 254:9627-9632, herein incorporated by reference.

Variant nucleotide sequences and proteins also encompass sequences and proteins derived from a mutagenic and recombinogenic procedure such as DNA shuffling. With such a procedure, one or more different TRX coding sequences can be manipulated to create a new TRX protein possessing the desired properties. Similarly, one or more NTR sequences can be manipulated to create a new NTR protein possessing the desired properties. In this manner, libraries of recombinant polynucleotides are generated from a population of related sequence polynucleotides comprising sequence regions that have substantial sequence identity and can be homologously recombined *in vitro* or *in vivo*. For example, using this approach, sequence motifs encoding a domain of interest may be shuffled between the TRX gene of the invention and other known TRX genes to obtain a

new gene coding for a protein with an improved property of interest, such as an increased  $K_m$  in the case of an enzyme. Strategies for such DNA shuffling are known in the art. See, for example, Stemmer (1994) *Proc. Natl. Acad. Sci. USA* 91:10747-10751; Stemmer (1994) *Nature* 370:389-391; Cramer *et al.* (1997) *Nature Biotech.* 15:436-438; Moore *et al.* (1997) *J. Mol. Biol.* 272:336-347; Zhang *et al.* (1997) *Proc. Natl. Acad. Sci. USA* 94:4504-4509; Cramer *et al.* (1998) *Nature* 391:288-291; and U.S. Patent Nos. 5,605,793 and 5,837,458.

The nucleotide sequences of the invention can be used to isolate corresponding sequences from other organisms, particularly other plants, more particularly other monocots. In this manner, methods such as PCR, hybridization, and the like can be used to identify such sequences based on their sequence homology to the sequences set forth herein. Sequences isolated based on their sequence identity to the entire TRX or NTR nucleotide sequences set forth herein or to fragments thereof are encompassed by the present invention. Such sequences include sequences that are orthologs of the disclosed sequences. By "orthologs" is intended genes derived from a common ancestral gene and which are found in different species as a result of speciation. Genes found in different species are considered orthologs when their nucleotide sequences and/or their encoded protein sequences share substantial identity as defined elsewhere herein. Functions of orthologs are often highly conserved among species.

In a PCR approach, oligonucleotide primers can be designed for use in PCR reactions to amplify corresponding DNA sequences from cDNA or genomic DNA extracted from any plant of interest. Methods for designing PCR primers and PCR cloning are generally known in the art and are disclosed in Sambrook *et al.* (1989) *Molecular Cloning: A Laboratory Manual* (2d ed., Cold Spring Harbor Laboratory Press, Plainview, New York). See also Innis *et al.*, eds. (1990) *PCR Protocols: A Guide to Methods and Applications* (Academic Press, New York); Innis and Gelfand, eds. (1995) *PCR Strategies* (Academic Press, New York); and Innis and Gelfand, eds. (1999) *PCR Methods Manual* (Academic Press, New York). Known methods of PCR include, but are not limited to, methods using paired primers, nested primers, single specific primers, degenerate primers, gene-specific primers, vector-specific primers, partially-mismatched primers, and the like.

In hybridization techniques, all or part of a known nucleotide sequence is used as a probe that selectively hybridizes to other corresponding nucleotide sequences present in a population of cloned genomic DNA fragments or cDNA fragments (i.e., genomic or cDNA libraries) from a chosen organism. The hybridization probes may be genomic DNA fragments, cDNA fragments, RNA fragments, or other oligonucleotides, and may be labeled with a detectable group such as  $^{32}\text{P}$ , or any other detectable marker. Thus, for example, probes for hybridization can be made by labeling synthetic oligonucleotides based on the TRX or NTR sequences of the invention. Methods for preparation of probes for hybridization and for construction of cDNA and genomic libraries are generally known in the art and are disclosed in Sambrook *et al.* (1989) *Molecular Cloning: A Laboratory Manual* (2d ed., Cold Spring Harbor Laboratory Press, Plainview, New York).

For example, an entire TRX or NTR sequence disclosed herein, or one or more portions thereof, may be used as a probe capable of specifically hybridizing to corresponding TRX or NTR sequences and messenger RNAs. To achieve specific hybridization under a variety of conditions, such probes include sequences that are unique among TRX or NTR sequences and are preferably at least about 10 nucleotides in length, and most preferably at least about 20 nucleotides in length. Such probes may be used to amplify corresponding TRX or NTR sequences from a chosen plant by PCR. This technique may be used to isolate additional coding sequences from a desired plant or as a diagnostic assay to determine the presence of coding sequences in a plant. Hybridization techniques include hybridization screening of plated DNA libraries (either plaques or colonies; see, for example, Sambrook *et al.* (1989) *Molecular Cloning: A Laboratory Manual* (2d ed., Cold Spring Harbor Laboratory Press, Plainview, New York).

Hybridization of such sequences may be carried out under stringent conditions. By "stringent conditions" or "stringent hybridization conditions" is intended conditions under which a probe will hybridize to its target sequence to a detectably greater degree than to other sequences (e.g., at least 2-fold over background). Stringent conditions are sequence-dependent and will be different in different circumstances. By controlling the stringency of the hybridization and/or washing conditions, target sequences that are 100%

complementary to the probe can be identified (homologous probing). Alternatively, stringency conditions can be adjusted to allow some mismatching in sequences so that lower degrees of similarity are detected (heterologous probing). Generally, a probe is less than about 1000 nucleotides in length, preferably less than 500 nucleotides in length.

- 5 Typically, stringent conditions will be those in which the salt concentration is less than about 1.5 M Na ion, typically about 0.01 to 1.0 M Na ion concentration (or other salts) at pH 7.0 to 8.3 and the temperature is at least about 30°C for short probes (e.g., 10 to 50 nucleotides) and at least about 60°C for long probes (e.g., greater than 50 nucleotides). Stringent conditions may also be achieved with the addition of
- 10 destabilizing agents such as formamide. Exemplary low stringency conditions include hybridization with a buffer solution of 30 to 35% formamide, 1 M NaCl, 1% SDS (sodium dodecyl sulphate) at 37°C, and a wash in 1X to 2X SSC (20X SSC = 3.0 M NaCl/0.3 M trisodium citrate) at 50 to 55°C. Exemplary moderate stringency conditions include hybridization in 40 to 45% formamide, 1.0 M NaCl, 1% SDS at 37°C, and a wash
- 15 in 0.5X to 1X SSC at 55 to 60°C. Exemplary high stringency conditions include hybridization in 50% formamide, 1 M NaCl, 1% SDS at 37°C, and a wash in 0.1X SSC at 60 to 65°C. The duration of hybridization is generally less than about 24 hours, usually about 4 to about 12 hours.

- Specificity is typically the function of post-hybridization washes, the critical
- 20 factors being the ionic strength and temperature of the final wash solution. For DNA-DNA hybrids, the  $T_m$  can be approximated from the equation of Meinkoth and Wahl (1984) *Anal. Biochem.* 138:267-284:  $T_m = 81.5^\circ\text{C} + 16.6 (\log M) + 0.41 (\%GC) - 0.61 (\% \text{ form}) - 500/L$ ; where M is the molarity of monovalent cations, %GC is the percentage of guanosine and cytosine nucleotides in the DNA, % form is the percentage of
- 25 formamide in the hybridization solution, and L is the length of the hybrid in base pairs. The  $T_m$  is the temperature (under defined ionic strength and pH) at which 50% of a complementary target sequence hybridizes to a perfectly matched probe.  $T_m$  is reduced by about 1°C for each 1% of mismatching; thus,  $T_m$  hybridization, and/or wash conditions can be adjusted to hybridize to sequences of the desired identity. For example,
- 30 if sequences with  $\geq 90\%$  identity are sought, the  $T_m$  can be decreased 10°C. Generally, stringent conditions are selected to be about 5°C lower than the thermal melting point

( $T_m$ ) for the specific sequence and its complement at a defined ionic strength and pH. However, severely stringent conditions can utilize a hybridization and/or wash at 1, 2, 3, or 4°C lower than the thermal melting point ( $T_m$ ); moderately stringent conditions can utilize a hybridization and/or wash at 6, 7, 8, 9, or 10°C lower than the thermal melting point ( $T_m$ ); low stringency conditions can utilize a hybridization and/or wash at 11, 12, 13, 14, 15, or 20°C lower than the thermal melting point ( $T_m$ ). Using the equation, hybridization and wash compositions, and desired  $T_m$ , those of ordinary skill will understand that variations in the stringency of hybridization and/or wash solutions are inherently described. If the desired degree of mismatching results in a  $T_m$  of less than 45°C (aqueous solution) or 32°C (formamide solution), it is preferred to increase the SSC concentration so that a higher temperature can be used. An extensive guide to the hybridization of nucleic acids is found in Tijssen (1993) *Laboratory Techniques in Biochemistry and Molecular Biology—Hybridization with Nucleic Acid Probes*, Part I, Chapter 2 (Elsevier, New York); and Ausubel *et al.*, eds. (1995) *Current Protocols in Molecular Biology*, Chapter 2 (Greene Publishing and Wiley-Interscience, New York). See Sambrook *et al.* (1989) *Molecular Cloning: A Laboratory Manual* (2d ed., Cold Spring Harbor Laboratory Press, Plainview, New York).

Isolated sequences that encode for a TRX protein and which hybridize under stringent conditions to the TRX sequences disclosed herein, or to fragments thereof, are encompassed by the present invention. Isolated sequences that encode for an NTR protein and which hybridize under stringent conditions to the NTR sequences disclosed herein, or to fragments thereof, are encompassed by the present invention.

The following terms are used to describe the sequence relationships between two or more nucleic acids or polynucleotides: (a) “reference sequence”, (b) “comparison window”, (c) “sequence identity”, (d) “percentage of sequence identity”, and (e) “substantial identity”.

(a) As used herein, “reference sequence” is a defined sequence used as a basis for sequence comparison. A reference sequence may be a subset or the entirety of a specified sequence; for example, as a segment of a full-length cDNA or gene sequence, or the complete cDNA or gene sequence.

(b) As used herein, "comparison window" makes reference to a contiguous and specified segment of a polynucleotide sequence, wherein the polynucleotide sequence in the comparison window may comprise additions or deletions (i.e., gaps) compared to the reference sequence (which does not comprise additions or deletions) for optimal alignment of the two sequences. Generally, the comparison window is at least 20 contiguous nucleotides in length, and optionally can be 30, 40, 50, 100, or longer. Those of skill in the art understand that to avoid a high similarity to a reference sequence due to inclusion of gaps in the polynucleotide sequence a gap penalty is typically introduced and is subtracted from the number of matches.

Methods of alignment of sequences for comparison are well known in the art. Thus, the determination of percent identity between any two sequences can be accomplished using a mathematical algorithm. Non-limiting examples of such mathematical algorithms are the algorithm of Myers and Miller (1988) *CABIOS* 4:11-17; the local homology algorithm of Smith *et al.* (1981) *Adv. Appl. Math.* 2:482; the homology alignment algorithm of Needleman and Wunsch (1970) *J. Mol. Biol.* 48:443-453; the search-for-similarity-method of Pearson and Lipman (1988) *Proc. Natl. Acad. Sci. USA* 85:2444-2448; the algorithm of Karlin and Altschul (1990) *Proc. Natl. Acad. Sci. USA* 87:2264, modified as in Karlin and Altschul (1993) *Proc. Natl. Acad. Sci. USA* 90:5873-5877.

Computer implementations of these mathematical algorithms can be utilized for comparison of sequences to determine sequence identity. Such implementations include, but are not limited to: CLUSTAL in the PC/Genie program (available from Intelligenetics, Mountain View, California); the ALIGN program (Version 2.0) and GAP, BESTFIT, BLAST, FASTA, and TFASTA in the Wisconsin Genetics Software Package, Version 8 (available from Genetics Computer Group (GCG), 575 Science Drive, Madison, Wisconsin, USA). Alignments using these programs can be performed using the default parameters. The CLUSTAL program is well described by Higgins *et al.* (1988) *Gene* 73:237-244 (1988); Higgins *et al.* (1989) *CABIOS* 5:151-153; Corpet *et al.* (1988) *Nucleic Acids Res.* 16:10881-90; Huang *et al.* (1992) *CABIOS* 8:155-65; and Pearson *et al.* (1994) *Meth. Mol. Biol.* 24:307-331. The ALIGN program is based on the algorithm of Myers and Miller (1988) *supra*. A PAM120 weight residue table, a gap

length penalty of 12, and a gap penalty of 4 can be used with the ALIGN program when comparing amino acid sequences. The BLAST programs of Altschul *et al* (1990) *J. Mol. Biol.* 215:403 are based on the algorithm of Karlin and Altschul (1990) *supra*. BLAST nucleotide searches can be performed with the BLASTN program, score = 100, wordlength = 12, to obtain nucleotide sequences homologous to a nucleotide sequence encoding a protein of the invention. BLAST protein searches can be performed with the BLASTX program, score = 50, wordlength = 3, to obtain amino acid sequences homologous to a protein or polypeptide of the invention. To obtain gapped alignments for comparison purposes, Gapped BLAST (in BLAST 2.0) can be utilized as described in Altschul *et al.* (1997) *Nucleic Acids Res.* 25:3389. Alternatively, PSI-BLAST (in BLAST 2.0) can be used to perform an iterated search that detects distant relationships between molecules. See Altschul *et al.* (1997) *supra*. When utilizing BLAST, Gapped BLAST, PSI-BLAST, the default parameters of the respective programs (e.g., BLASTN for nucleotide sequences, BLASTX for proteins) can be used. See <http://www.ncbi.nlm.nih.gov>. Alignment may also be performed manually by inspection.

Unless otherwise stated, sequence identity/similarity values provided herein refer to the value obtained using GAP Version 10 using the following parameters: % identity using GAP Weight of 50 and Length Weight of 3; % similarity using Gap Weight of 12 and Length Weight of 4, or any equivalent program. By "equivalent program" is intended any sequence comparison program that, for any two sequences in question, generates an alignment having identical nucleotide or amino acid residue matches and an identical percent sequence identity when compared to the corresponding alignment generated by the preferred program.

GAP uses the algorithm of Needleman and Wunsch (1970) *J. Mol. Biol.* 48: 443-453, to find the alignment of two complete sequences that maximizes the number of matches and minimizes the number of gaps. GAP considers all possible alignments and gap positions and creates the alignment with the largest number of matched bases and the fewest gaps. It allows for the provision of a gap creation penalty and a gap extension penalty in units of matched bases. GAP must make a profit of gap creation penalty number of matches for each gap it inserts. If a gap extension penalty greater than zero is chosen, GAP must, in addition, make a profit for each gap inserted of the length of the

gap times the gap extension penalty. Default gap creation penalty values and gap extension penalty values in Version 10 of the Wisconsin Genetics Software Package for protein sequences are 8 and 2, respectively. For nucleotide sequences the default gap creation penalty is 50 while the default gap extension penalty is 3. The gap creation and gap extension penalties can be expressed as an integer selected from the group of integers consisting of from 0 to 200. Thus, for example, the gap creation and gap extension penalties can be 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 15, 20, 25, 30, 35, 40, 45, 50, 55, 60, 65 or greater.

GAP presents one member of the family of best alignments. There may be many members of this family, but no other member has a better quality. GAP displays four figures of merit for alignments: Quality, Ratio, Identity, and Similarity. The Quality is the metric maximized in order to align the sequences. Ratio is the quality divided by the number of bases in the shorter segment. Percent Identity is the percent of the symbols that actually match. Percent Similarity is the percent of the symbols that are similar. Symbols that are across from gaps are ignored. A similarity is scored when the scoring matrix value for a pair of symbols is greater than or equal to 0.50, the similarity threshold. The scoring matrix used in Version 10 of the Wisconsin Genetics Software Package is BLOSUM62 (see Henikoff and Henikoff (1989) *Proc. Natl. Acad. Sci. USA* 89:10915).

For purposes of the present invention, comparison of nucleotide or protein sequences for determination of percent sequence identity to the TRX and NTR sequences disclosed herein is preferably made using CLUSTAL with the following changes from the default parameters. For amino acid sequence comparisons a Gap Penalty of 10 and Gap Length Penalty of 10 was used for multiple alignments and a KTUPLE of 2, Gap Penalty of 3, Window of 5 and Diagonals Saved of 5 was used for pairwise alignments. For nucleotide sequence comparisons, a Gap Penalty of 10 and Gap Length Penalty of 10 was used for multiple alignments and a KTUPLE of 2, Gap Penalty of 5, Window of 4 and Diagonals Saved of 4 was used for pairwise alignments. Any equivalent program can also be used to determine percent sequence identity. By "equivalent program" is intended any sequence comparison program that, for any two sequences in question, generates an alignment having identical nucleotide or amino acid residue matches and an



10005429.120301

identical percent sequence identity when compared to the corresponding alignment generated by the preferred program.

(c) As used herein, "sequence identity" or "identity" in the context of two nucleic acid or polypeptide sequences makes reference to the residues in the two sequences that are the same when aligned for maximum correspondence over a specified comparison window. When percentage of sequence identity is used in reference to proteins it is recognized that residue positions which are not identical often differ by conservative amino acid substitutions, where amino acid residues are substituted for other amino acid residues with similar chemical properties (e.g., charge or hydrophobicity) and therefore do not change the functional properties of the molecule. When sequences differ in conservative substitutions, the percent sequence identity may be adjusted upwards to correct for the conservative nature of the substitution. Sequences that differ by such conservative substitutions are said to have "sequence similarity" or "similarity". Means for making this adjustment are well known to those of skill in the art. Typically this involves scoring a conservative substitution as a partial rather than a full mismatch, thereby increasing the percentage sequence identity. Thus, for example, where an identical amino acid is given a score of 1 and a non-conservative substitution is given a score of zero, a conservative substitution is given a score between zero and 1. The scoring of conservative substitutions is calculated, e.g., as implemented in the program PC/GENE (Intelligenetics, Mountain View, California).

(d) As used herein, "percentage of sequence identity" means the value determined by comparing two optimally aligned sequences over a comparison window, wherein the portion of the polynucleotide sequence in the comparison window may comprise additions or deletions (i.e., gaps) as compared to the reference sequence (which does not comprise additions or deletions) for optimal alignment of the two sequences. The percentage is calculated by determining the number of positions at which the identical nucleic acid base or amino acid residue occurs in both sequences to yield the number of matched positions, dividing the number of matched positions by the total number of positions in the window of comparison, and multiplying the result by 100 to yield the percentage of sequence identity.

(e)(i) The term "substantial identity" of polynucleotide sequences means that a polynucleotide comprises a sequence that has at least 70% sequence identity, preferably at least 80%, more preferably at least 90%, and most preferably at least 95%, compared to a reference sequence using one of the alignment programs described using standard parameters. One of skill in the art will recognize that these values can be appropriately adjusted to determine corresponding identity of proteins encoded by two nucleotide sequences by taking into account codon degeneracy, amino acid similarity, reading frame positioning, and the like. Substantial identity of amino acid sequences for these purposes normally means sequence identity of at least 60%, more preferably at least 70%, 80%, 90%, and most preferably at least 95%.

Another indication that nucleotide sequences are substantially identical is if two molecules hybridize to each other under stringent conditions. Generally, stringent conditions are selected to be about 5°C lower than the thermal melting point ( $T_m$ ) for the specific sequence at a defined ionic strength and pH. However, stringent conditions encompass temperatures in the range of about 1°C to about 20°C lower than the  $T_m$ , depending upon the desired degree of stringency as otherwise qualified herein. Nucleic acids that do not hybridize to each other under stringent conditions are still substantially identical if the polypeptides they encode are substantially identical. This may occur, e.g., when a copy of a nucleic acid is created using the maximum codon degeneracy permitted by the genetic code. One indication that two nucleic acid sequences are substantially identical is when the polypeptide encoded by the first nucleic acid is immunologically cross reactive with the polypeptide encoded by the second nucleic acid.

(e)(ii) The term "substantial identity" in the context of a peptide indicates that a peptide comprises a sequence with at least 70% sequence identity to a reference sequence, preferably 80%, more preferably 85%, most preferably at least 90% or 95% sequence identity to the reference sequence over a specified comparison window. Preferably, optimal alignment is conducted using the homology alignment algorithm of Needleman and Wunsch (1970) *J. Mol. Biol.* 48:443-453. An indication that two peptide sequences are substantially identical is that one peptide is immunologically reactive with antibodies raised against the second peptide. Thus, a peptide is substantially identical to a second peptide, for example, where the two peptides differ only by a conservative

substitution. Peptides that are "substantially similar" share sequences as noted above except that residue positions that are not identical may differ by conservative amino acid changes.

5       The use of the term "nucleotide constructs" herein is not intended to limit the present invention to nucleotide constructs comprising DNA. Those of ordinary skill in the art will recognize that nucleotide constructs, particularly polynucleotides and oligonucleotides, comprised of ribonucleotides and combinations of ribonucleotides and deoxyribonucleotides may also be employed in the methods disclosed herein. Thus, the nucleotide constructs of the present invention encompass all nucleotide constructs that  
10       can be employed in the methods of the present invention for transforming plants including, but not limited to, those comprised of deoxyribonucleotides, ribonucleotides, and combinations thereof. Such deoxyribonucleotides and ribonucleotides include both naturally occurring molecules and synthetic analogues. The nucleotide constructs of the invention also encompass all forms of nucleotide constructs including, but not limited to,  
15       single-stranded forms, double-stranded forms, hairpins, stem-and-loop structures, and the like.

          Furthermore, it is recognized that the methods of the invention may employ a nucleotide construct that is capable of directing, in a transformed plant, the expression of at least one protein, or at least one RNA, such as, for example, an antisense RNA that is  
20       complementary to at least a portion of an mRNA. Typically such a nucleotide construct is comprised of a coding sequence for a protein or an RNA operably linked to 5' and 3' transcriptional regulatory regions. Alternatively, it is also recognized that the methods of the invention may employ a nucleotide construct that is not capable of directing, in a transformed plant, the expression of a protein or an RNA.

25       In addition, it is recognized that methods of the present invention do not depend on the incorporation of the entire nucleotide construct into the genome, only that the plant or cell thereof is altered as a result of the introduction of the nucleotide construct into a cell. In one embodiment of the invention, the genome may be altered following the introduction of the nucleotide construct into a cell. For example, the nucleotide  
30       construct, or any part thereof, may incorporate into the genome of the plant. Alterations to the genome of the present invention include, but are not limited to, additions, deletions,



The expression cassette will include in the 5'-3' direction of transcription, a transcriptional and translational initiation region, a TRX or NTR DNA sequence of the invention, and a transcriptional and translational termination region functional in plants. The transcriptional initiation region, the promoter, may be native or analogous or foreign or heterologous to the plant host. Additionally, the promoter may be the natural sequence or alternatively a synthetic sequence. By "foreign" is intended that the transcriptional initiation region is not found in the native plant into which the transcriptional initiation region is introduced. As used herein, a chimeric gene comprises a coding sequence operably linked to a transcription initiation region that is heterologous to the coding sequence.

While it may be preferable to express the sequences using heterologous promoters, the native promoter sequences may be used. Such constructs would change expression levels of TRX or NTR in the plant or plant cell. Thus, the phenotype of the plant or plant cell is altered.

The termination region may be native with the transcriptional initiation region, may be native with the operably linked DNA sequence of interest, or may be derived from another source. Convenient termination regions are available from the Ti-plasmid of *A. tumefaciens*, such as the octopine synthase and nopaline synthase termination regions. See also Guerineau *et al.* (1991) *Mol. Gen. Genet.* 262:141-144; Proudfoot (1991) *Cell* 64:671-674; Sanfacon *et al.* (1991) *Genes Dev.* 5:141-149; Mogen *et al.* (1990) *Plant Cell* 2:1261-1272; Munroe *et al.* (1990) *Gene* 91:151-158; Ballas *et al.* (1989) *Nucleic Acids Res.* 17:7891-7903; and Joshi *et al.* (1987) *Nucleic Acid Res.* 15:9627-9639.

Where appropriate, the gene(s) may be optimized for increased expression in the transformed plant. That is, the genes can be synthesized using plant-preferred codons for improved expression. See, for example, Campbell and Gowri (1990) *Plant Physiol.* 92:1-11 for a discussion of host-preferred codon usage. Methods are available in the art for synthesizing plant-preferred genes. See, for example, U.S. Patent Nos. 5,380,831, and 5,436,391, and Murray *et al.* (1989) *Nucleic Acids Res.* 17:477-498, herein incorporated by reference.

Additional sequence modifications are known to enhance gene expression in a cellular host. These include elimination of sequences encoding spurious polyadenylation signals, exon-intron splice-site signals, transposon-like repeats, and other such well-characterized sequences that may be deleterious to gene expression. The G-C content of the sequence may be adjusted to levels average for a given cellular host, as calculated by reference to known genes expressed in the host cell. When possible, the sequence is modified to avoid predicted hairpin secondary mRNA structures.

The expression cassettes may additionally contain 5' leader sequences in the expression cassette construct. Such leader sequences can act to enhance translation.

10 Translation leaders are known in the art and include: picornavirus leaders, for example, EMCV leader (Encephalomyocarditis 5' noncoding region) (Elroy-Stein *et al.* (1989) *Proc. Natl. Acad. Sci. USA* 86:6126-6130); potyvirus leaders, for example, TEV leader (Tobacco Etch Virus) (Gallie *et al.* (1995) *Gene* 165(2):233-238), MDMV leader (Maize Dwarf Mosaic Virus) (*Virology* 154:9-20), and human immunoglobulin heavy-chain binding protein (BiP) (Macejak *et al.* (1991) *Nature* 353:90-94); untranslated leader from the coat protein mRNA of alfalfa mosaic virus (AMV RNA 4) (Jobling *et al.* (1987) *Nature* 325:622-625); tobacco mosaic virus leader (TMV) (Gallie *et al.* (1989) in *Molecular Biology of RNA*, ed. Cech (Liss, New York), pp. 237-256); and maize chlorotic mottle virus leader (MCMV) (Lommel *et al.* (1991) *Virology* 81:382-385). See

15 also, Della-Cioppa *et al.* (1987) *Plant Physiol.* 84:965-968. Other methods known to enhance translation can also be utilized, for example, introns, and the like.

In preparing the expression cassette, the various DNA fragments may be manipulated, so as to provide for the DNA sequences in the proper orientation and, as appropriate, in the proper reading frame. Toward this end, adapters or linkers may be employed to join the DNA fragments or other manipulations may be involved to provide for convenient restriction sites, removal of superfluous DNA, removal of restriction sites, or the like. For this purpose, *in vitro* mutagenesis, primer repair, restriction, annealing, resubstitutions, e.g., transitions and transversions, may be involved.

A number of promoters can be used in the practice of the invention. The promoters can be selected based on the desired outcome. The nucleic acids can be

combined with constitutive, chemically regulated, tissue-preferred, or other promoters for expression in plants.

Such constitutive promoters include, for example, the core promoter of the Rsyn7 promoter and other constitutive promoters disclosed in WO 99/43838 and U.S. Patent  
 5 No. 6,072,050; the core CaMV 35S promoter (Odell *et al.* (1985) *Nature* 313:810-812); rice actin (McElroy *et al.* (1990) *Plant Cell* 2:163-171); ubiquitin (Christensen *et al.* (1989) *Plant Mol. Biol.* 12:619-632 and Christensen *et al.* (1992) *Plant Mol. Biol.* 18:675-689); pEMU (Last *et al.* (1991) *Theor. Appl. Genet.* 81:581-588); MAS (Velten *et al.* (1984) *EMBO J.* 3:2723-2730); ALS promoter (U.S. Patent No. 5,659,026), and the  
 10 like. Other constitutive promoters include, for example, U.S. Patent Nos. 5,608,149; 5,608,144; 5,604,121; 5,569,597; 5,466,785; 5,399,680; 5,268,463; 5,608,142; and 6,177,611.

Chemically regulated promoters can be used to modulate the expression of a gene in a plant through the application of an exogenous chemical regulator. Depending upon  
 15 the objective, the promoter may be a chemical inducible promoter, where application of the chemical induces gene expression, or a chemical repressible promoter, where application of the chemical represses gene expression. Chemical inducible promoters are known in the art and include, but are not limited to, the maize In2-2 promoter, which is activated by benzenesulfonamide herbicide safeners, the maize GST promoter, which is  
 20 activated by hydrophobic electrophilic compounds that are used as pre-emergent herbicides, and the tobacco PR-1a promoter, which is activated by salicylic acid. Other chemically regulated promoters of interest include steroid-responsive promoters (see, for example, the glucocorticoid-inducible promoter in Schena *et al.* (1991) *Proc. Natl. Acad. Sci. USA* 88:10421-10425 and McNellis *et al.* (1998) *Plant J.* 14(2):247-257) and  
 25 tetracycline-inducible and tetracycline-repressible promoters (see, for example, Gatz *et al.* (1991) *Mol. Gen. Genet.* 227:229-237, and U.S. Patent Nos. 5,814,618 and 5,789,156), herein incorporated by reference.

Tissue-preferred promoters can be utilized to target enhanced TRX or NTR expression within a particular plant tissue. Tissue-preferred promoters include Yamamoto  
 30 *et al.* (1997) *Plant J.* 12(2):255-265; Kawamata *et al.* (1997) *Plant Cell Physiol.* 38(7):792-803; Hansen *et al.* (1997) *Mol. Gen. Genet.* 254(3):337-343; Russell *et al.*

(1997) *Transgenic Res.* 6(2):157-168; Rinehart *et al.* (1996) *Plant Physiol.* 112(3):1331-1341; Van Camp *et al.* (1996) *Plant Physiol.* 112(2):525-535; Canevascini *et al.* (1996) *Plant Physiol.* 112(2):513-524; Yamamoto *et al.* (1994) *Plant Cell Physiol.* 35(5):773-778; Lam (1994) *Results Probl. Cell Differ.* 20:181-196; Orozco *et al.* (1993) *Plant Mol. Biol.* 23(6):1129-1138; Matsuoka *et al.* (1993) *Proc Natl. Acad. Sci. USA* 90(20):9586-9590; and Guevara-Garcia *et al.* (1993) *Plant J.* 4(3):495-505. Such promoters can be modified, if necessary, for weak expression.

Leaf-specific promoters are known in the art. See, for example, Yamamoto *et al.* (1997) *Plant J.* 12(2):255-265; Kwon *et al.* (1994) *Plant Physiol.* 105:357-67; Yamamoto *et al.* (1994) *Plant Cell Physiol.* 35(5):773-778; Gotor *et al.* (1993) *Plant J.* 3:509-18; Orozco *et al.* (1993) *Plant Mol. Biol.* 23(6):1129-1138; and Matsuoka *et al.* (1993) *Proc. Natl. Acad. Sci. USA* 90(20):9586-9590.

Root-specific promoters are known and can be selected from the many available from the literature or isolated de novo from various compatible species. See, for example, Hire *et al.* (1992) *Plant Mol. Biol.* 20(2): 207-218 (soybean root-specific glutamine synthetase gene); Keller and Baumgartner (1991) *Plant Cell* 3(10):1051-1061 (root-specific control element in the GRP 1.8 gene of French bean); Sanger *et al.* (1990) *Plant Mol. Biol.* 14(3):433-443 (root-specific promoter of the mannopine synthase (MAS) gene of *Agrobacterium tumefaciens*); and Miao *et al.* (1991) *Plant Cell* 3(1):11-22 (full-length cDNA clone encoding cytosolic glutamine synthetase (GS), which is expressed in roots and root nodules of soybean). See also Bogusz *et al.* (1990) *Plant Cell* 2(7):633-641, where two root-specific promoters isolated from hemoglobin genes from the nitrogen-fixing nonlegume *Parasponia andersonii* and the related non-nitrogen-fixing nonlegume *Trema tomentosa* are described. The promoters of these genes were linked to a  $\beta$ -glucuronidase reporter gene and introduced into both the nonlegume *Nicotiana tabacum* and the legume *Lotus corniculatus*, and in both instances root-specific promoter activity was preserved. Leach and Aoyagi (1991) describe their analysis of the promoters of the highly expressed rolC and rolD root-inducing genes of *Agrobacterium rhizogenes* (see *Plant Science* (Limerick) 79(1):69-76). They concluded that enhancer and tissue-preferred DNA determinants are dissociated in those promoters. Teeri *et al.* (1989) used gene fusion to lacZ to show that the *Agrobacterium* T-DNA gene encoding octopine



- synthase is especially active in the epidermis of the root tip and that the TR2' gene is root specific in the intact plant and stimulated by wounding in leaf tissue, an especially desirable combination of characteristics for use with an insecticidal or larvicidal gene (see *EMBO J.* 8(2):343-350). The TR1' gene, fused to *nptII* (neomycin phosphotransferase II) showed similar characteristics. Additional root-preferred promoters include the VfENOD-GRP3 gene promoter (Kuster *et al.* (1995) *Plant Mol. Biol.* 29(4):759-772); and rolB promoter (Capana *et al.* (1994) *Plant Mol. Biol.* 25(4):681-691. See also U.S. Patent Nos. 5,837,876; 5,750,386; 5,633,363; 5,459,252; 5,401,836; 5,110,732; and 5,023,179.
- 10 "Seed-preferred" promoters include both "seed-specific" promoters (those promoters active during seed development such as promoters of seed storage proteins) as well as "seed-germinating" promoters (those promoters active during seed germination). See Thompson *et al.* (1989) *BioEssays* 10:108, herein incorporated by reference. Such seed-preferred promoters include, but are not limited to, Cim1 (cytokinin-induced message); cZ19B1 (maize 19 kDa zein); milps (myo-inositol-1-phosphate synthase); and celA (cellulose synthase) (see WO 00/11177, herein incorporated by reference). The 27 kDa gamma-zein promoter is a preferred endosperm-specific promoter. The maize globulin-1 and oleosin promoters are preferred embryo-specific promoters. For dicots, seed-specific promoters include, but are not limited to, bean  $\beta$ -phaseolin, napin,  $\beta$ -conglycinin, soybean lectin, cruciferin, and the like. For monocots, seed-specific promoters include, but are not limited to, promoters of the 15 kDa beta-zein, 22 kDa alpha-zein, 27 kDa gamma-zein, waxy, shrunken 1, shrunken 2, globulin 1 and oleosin genes. See also WO 00/12733, where seed-preferred promoters from *end1* and *end2* genes are disclosed; herein incorporated by reference.
- 25 In a preferred embodiment, the nucleic acids of interest are targeted to the chloroplast for expression. In this manner, where the nucleic acid of interest is not directly inserted into the chloroplast, the expression cassette will additionally contain a nucleic acid encoding a transit peptide to direct the gene product of interest to the chloroplasts or other plastids. Such transit peptides are known in the art. See, for example, Von Heijne *et al.* (1991) *Plant Mol. Biol. Rep.* 9:104-126; Clark *et al.* (1989) *J. Biol. Chem.* 264:17544-17550; Della-Cioppa *et al.* (1987) *Plant Physiol.* 84:965-968;
- 30

Romer *et al.* (1993) *Biochem. Biophys. Res. Commun.* 196:1414-1421; and Shah *et al.* (1986) *Science* 233:478-481.

The TRX and NTR proteins of the invention can be targeted to specific compartments within the plant cell. Methods for targeting proteins to a specific compartment are known in the art. Generally, such methods involve modifying the nucleotide sequence encoding the protein in such a manner as to add or remove specific amino acids from the protein encoded thereby. Such amino acids comprise targeting signals for targeting the protein to a specific compartment such as, for example, a the plastid, the nucleus, the endoplasmic reticulum, the vacuole, the mitochondrion, the peroxisome, the Golgi apparatus, and for secretion from the cell. Targeting sequences for targeting a protein to a specific cellular compartment, or for secretion, are known to those of ordinary skill in the art. Chloroplast-targeting or plastid-targeting sequences are known in the art and include the chloroplast small subunit of ribulose-1,5-bisphosphate carboxylase (Rubisco) (de Castro Silva Filho *et al.* (1996) *Plant Mol. Biol.* 30:769-780; Schnell *et al.* (1991) *J. Biol. Chem.* 266(5):3335-3342); 5-(enolpyruvyl)shikimate-3-phosphate synthase (EPSPS) (Archer *et al.* (1990) *J. Bioenerg. Biomemb.* 22(6):789-810); tryptophan synthase (Zhao *et al.* (1995) *J. Biol. Chem.* 270(11):6081-6087); plastocyanin (Lawrence *et al.* (1997) *J. Biol. Chem.* 272(33):20357-20363); chorismate synthase (Schmidt *et al.* (1993) *J. Biol. Chem.* 268(36):27447-27457); and the light harvesting chlorophyll a/b binding protein (LHBP) (Lamppa *et al.* (1988) *J. Biol. Chem.* 263:14996-14999). See also Von Heijne *et al.* (1991) *Plant Mol. Biol. Rep.* 9:104-126; Clark *et al.* (1989) *J. Biol. Chem.* 264:17544-17550; Della-Cioppa *et al.* (1987) *Plant Physiol.* 84:965-968; Romer *et al.* (1993) *Biochem. Biophys. Res. Commun.* 196:1414-1421; and Shah *et al.* (1986) *Science* 233:478-481.

Generally, the expression cassette will comprise a selectable marker gene for the selection of transformed cells. Selectable marker genes are utilized for the selection of transformed cells or tissues. Marker genes include genes encoding antibiotic resistance, such as those encoding neomycin phosphotransferase II (NEO) and hygromycin phosphotransferase (HPT), as well as genes conferring resistance to herbicidal compounds, such as glufosinate ammonium, bromoxynil, imidazolinones, and 2,4-dichlorophenoxyacetate (2,4-D). See generally, Yarranton (1992) *Curr. Opin. Biotech.*

- 3:506-511; Christopherson *et al.* (1992) *Proc. Natl. Acad. Sci. USA* 89:6314-6318; Yao *et al.* (1992) *Cell* 71:63-72; Reznikoff (1992) *Mol. Microbiol.* 6:2419-2422; Barkley *et al.* (1980) in *The Operon*, pp. 177-220; Hu *et al.* (1987) *Cell* 48:555-566; Brown *et al.* (1987) *Cell* 49:603-612; Figge *et al.* (1988) *Cell* 52:713-722; Deuschle *et al.* (1989) *Proc. Natl. Acad. Sci. USA* 86:5400-5404; Fuerst *et al.* (1989) *Proc. Natl. Acad. Sci. USA* 86:2549-2553; Deuschle *et al.* (1990) *Science* 248:480-483; Gossen (1993) Ph.D. Thesis, University of Heidelberg; Reines *et al.* (1993) *Proc. Natl. Acad. Sci. USA* 90:1917-1921; Labow *et al.* (1990) *Mol. Cell. Biol.* 10:3343-3356; Zambretti *et al.* (1992) *Proc. Natl. Acad. Sci. USA* 89:3952-3956; Baim *et al.* (1991) *Proc. Natl. Acad. Sci. USA* 88:5072-5076; Wyborski *et al.* (1991) *Nucleic Acids Res.* 19:4647-4653; Hillenand-Wissman (1989) *Topics Mol. Struc. Biol.* 10:143-162; Degenkolb *et al.* (1991) *Antimicrob. Agents Chemother.* 35:1591-1595; Kleinschmidt *et al.* (1988) *Biochemistry* 27:1094-1104; Bonin (1993) Ph.D. Thesis, University of Heidelberg; Gossen *et al.* (1992) *Proc. Natl. Acad. Sci. USA* 89:5547-5551; Oliva *et al.* (1992) *Antimicrob. Agents Chemother.* 36:913-919; Hlavka *et al.* (1985) *Handbook of Experimental Pharmacology*, Vol. 78 (Springer-Verlag, Berlin); Gill *et al.* (1988) *Nature* 334:721-724. Such disclosures are herein incorporated by reference.

The above list of selectable marker genes is not meant to be limiting. Any selectable marker gene can be used in the present invention.

- 20 The invention involves transforming host cells with the nucleotide constructs of the invention. Generally, the nucleotide construct will comprise a TRX or NTR nucleotide sequence of the invention operably linked to a promoter that drives expression in the host cell of interest. Host cells include, but are not limited to: plant cells; animal cells; fungal cells, particularly yeast cells; and bacterial cells.

- 25 The methods of the invention involve introducing a nucleotide construct into a plant. By "introducing" is intended presenting to the plant the nucleotide construct in such a manner that the construct gains access to the interior of a cell of the plant. The methods of the invention do not depend on a particular method for introducing a nucleotide construct to a plant, only that the nucleotide construct gains access to the interior of at least one cell of the plant. Methods for introducing nucleotide constructs  
30 into plants are known in the art including, but not limited to, stable transformation methods, transient transformation methods, and virus-mediated methods.

By “stable transformation” is intended that the nucleotide construct introduced into a plant integrates into the genome of the plant and is capable of being inherited by progeny thereof. By “transient transformation” is intended that a nucleotide construct introduced into a plant does not integrate into the genome of the plant.

- 5 Transformation protocols as well as protocols for introducing nucleotide sequences into plants may vary depending on the type of plant or plant cell, i.e., monocot or dicot, targeted for transformation. Suitable methods of introducing nucleotide sequences into plant cells and subsequent insertion into the plant genome include microinjection (Crossway *et al.* (1986) *Biotechniques* 4:320-334), electroporation (Riggs
- 10 *et al.* (1986) *Proc. Natl. Acad. Sci. USA* 83:5602-5606, *Agrobacterium*-mediated transformation (Townsend *et al.*, U.S. Patent No. 5,563,055; Zhao *et al.*, U.S. Patent No. 5,981,840), direct gene transfer (Paszowski *et al.* (1984) *EMBO J.* 3:2717-2722), and ballistic particle acceleration (see, for example, Sanford *et al.*, U.S. Patent No. 4,945,050; Tomes *et al.*, U.S. Patent No. 5,879,918; Tomes *et al.*, U.S. Patent No. 5,886,244; Bidney
- 15 *et al.*, U.S. Patent No. 5,932,782; Tomes *et al.* (1995) “Direct DNA Transfer into Intact Plant Cells via Microprojectile Bombardment,” in *Plant Cell, Tissue, and Organ Culture: Fundamental Methods*, ed. Gamborg and Phillips (Springer-Verlag, Berlin); McCabe *et al.* (1988) *Biotechnology* 6:923-926); and *Lec1* transformation (WO 00/28058). Also see Weissinger *et al.* (1988) *Ann. Rev. Genet.* 22:421-477; Sanford *et al.* (1987) *Particulate*
- 20 *Science and Technology* 5:27-37 (onion); Christou *et al.* (1988) *Plant Physiol.* 87:671-674 (soybean); McCabe *et al.* (1988) *Bio/Technology* 6:923-926 (soybean); Finer and McMullen (1991) *In Vitro Cell Dev. Biol.* 27P:175-182 (soybean); Singh *et al.* (1998) *Theor. Appl. Genet.* 96:319-324 (soybean); Datta *et al.* (1990) *Biotechnology* 8:736-740 (rice); Klein *et al.* (1988) *Proc. Natl. Acad. Sci. USA* 85:4305-4309 (maize);
- 25 Klein *et al.* (1988) *Biotechnology* 6:559-563 (maize); Tomes, U.S. Patent No. 5,240,855; Buising *et al.*, U.S. Patent Nos. 5,322,783 and 5,324,646; Tomes *et al.* (1995) “Direct DNA Transfer into Intact Plant Cells via Microprojectile Bombardment,” in *Plant Cell, Tissue, and Organ Culture: Fundamental Methods*, ed. Gamborg (Springer-Verlag, Berlin) (maize); Klein *et al.* (1988) *Plant Physiol.* 91:440-444 (maize); Fromm *et al.*
- 30 (1990) *Biotechnology* 8:833-839 (maize); Hooykaas-Van Slogteren *et al.* (1984) *Nature (London)* 311:763-764; Bowen *et al.*, U.S. Patent No. 5,736,369 (cereals); Bytebier *et al.*

- (1987) *Proc. Natl. Acad. Sci. USA* 84:5345-5349 (Liliaceae); De Wet *et al.* (1985) in *The Experimental Manipulation of Ovule Tissues*, ed. Chapman *et al.* (Longman, New York), pp. 197-209 (pollen); Kaeppeler *et al.* (1990) *Plant Cell Reports* 9:415-418 and Kaeppeler *et al.* (1992) *Theor. Appl. Genet.* 84:560-566 (whisker-mediated transformation);
- 5 D'Halluin *et al.* (1992) *Plant Cell* 4:1495-1505 (electroporation); Li *et al.* (1993) *Plant Cell Reports* 12:250-255 and Christou and Ford (1995) *Annals of Botany* 75:407-413 (rice); Osjoda *et al.* (1996) *Nature Biotechnology* 14:745-750 (maize via *Agrobacterium tumefaciens*); all of which are herein incorporated by reference.

The nucleotide constructs of the invention may also be introduced into plants by

10 contacting plants with a virus or viral nucleic acids. Generally, such methods involve incorporating a nucleotide construct of the invention within a viral DNA or RNA molecule. It is recognized that the an TRX or NTR of the invention may be initially synthesized as part of a viral polyprotein, which later may be processed by proteolysis *in vivo* or *in vitro* to produce the desired recombinant protein. Further, it is recognized that

15 promoters of the invention also encompass promoters utilized for transcription by viral RNA polymerases. Methods for introducing nucleotide constructs into plants and expressing a protein encoded therein, involving viral DNA or RNA molecules, are known in the art. See, for example, U.S. Patent Nos. 5,889,191, 5,889,190, 5,866,785, 5,589,367 and 5,316,931; herein incorporated by reference.

20 Methods for transformation of chloroplasts are known in the art. See, for example, Svab *et al.* (1990) *Proc. Natl. Acad. Sci. USA* 87:8526-8530; Svab and Maliga (1993) *Proc. Natl. Acad. Sci. USA* 90:913-917; Svab and Maliga (1993) *EMBO J.* 12:601-606. The method relies on particle gun delivery of DNA containing a selectable marker and targeting of the DNA to the plastid genome through homologous

25 recombination. Additionally, plastid transformation can be accomplished by transactivation of a silent plastid-borne transgene by tissue-preferred expression of a nuclear-encoded and plastid-directed RNA polymerase. Such a system has been reported in McBride *et al.* (1994) *Proc. Natl. Acad. Sci. USA* 91:7301-7305.

The nucleic acids of interest to be targeted to the chloroplast may be optimized for

30 expression in the chloroplast to account for differences in codon usage between the plant nucleus and this organelle. In this manner, the nucleic acids of interest may be

synthesized using chloroplast-preferred codons. See, for example, U.S. Patent No. 5,380,831, herein incorporated by reference.

- The cells that have been transformed may be grown into plants in accordance with conventional ways. See, for example, McCormick *et al.* (1986) *Plant Cell Reports* 5:81-84. These plants may then be grown, and either pollinated with the same transformed strain or different strains, and the resulting hybrid having constitutive expression of the desired phenotypic characteristic identified. Two or more generations may be grown to ensure that expression of the desired phenotypic characteristic is stably maintained and inherited and then seeds harvested to ensure expression of the desired phenotypic characteristic has been achieved.

- The present invention may be used for transformation of any plant species, including, but not limited to, corn (*Zea mays*), *Brassica* sp. (e.g., *B. napus*, *B. rapa*, *B. juncea*), particularly those *Brassica* species useful as sources of seed oil, alfalfa (*Medicago sativa*), rice (*Oryza sativa*), rye (*Secale cereale*), sorghum (*Sorghum bicolor*, *Sorghum vulgare*), millet (e.g., pearl millet (*Pennisetum glaucum*), proso millet (*Panicum miliaceum*), foxtail millet (*Setaria italica*), finger millet (*Eleusine coracana*)), sunflower (*Helianthus annuus*), safflower (*Carthamus tinctorius*), wheat (*Triticum aestivum*), soybean (*Glycine max*), tobacco (*Nicotiana tabacum*), potato (*Solanum tuberosum*), peanuts (*Arachis hypogaea*), cotton (*Gossypium barbadense*, *Gossypium hirsutum*), sweet potato (*Ipomoea batatas*), cassava (*Manihot esculenta*), coffee (*Coffea* spp.), coconut (*Cocos nucifera*), pineapple (*Ananas comosus*), citrus trees (*Citrus* spp.), cocoa (*Theobroma cacao*), tea (*Camellia sinensis*), banana (*Musa* spp.), avocado (*Persea americana*), fig (*Ficus casica*), guava (*Psidium guajava*), mango (*Mangifera indica*), olive (*Olea europaea*), papaya (*Carica papaya*), cashew (*Anacardium occidentale*), macadamia (*Macadamia integrifolia*), almond (*Prunus amygdalus*), sugar beets (*Beta vulgaris*), sugarcane (*Saccharum* spp.), oats, barley, vegetables, ornamentals, and conifers.

- Vegetables include tomatoes (*Lycopersicon esculentum*), lettuce (e.g., *Lactuca sativa*), green beans (*Phaseolus vulgaris*), lima beans (*Phaseolus limensis*), peas (*Lathyrus* spp.), and members of the genus *Cucumis* such as cucumber (*C. sativus*), cantaloupe (*C. cantalupensis*), and musk melon (*C. melo*). Ornamentals include azalea (*Rhododendron* spp.), hydrangea (*Macrophylla hydrangea*), hibiscus (*Hibiscus rosasanensis*), roses (*Rosa*

spp.), tulips (*Tulipa* spp.), daffodils (*Narcissus* spp.), petunias (*Petunia hybrida*), carnation (*Dianthus caryophyllus*), poinsettia (*Euphorbia pulcherrima*), and chrysanthemum. Conifers that may be employed in practicing the present invention include, for example, pines such as loblolly pine (*Pinus taeda*), slash pine (*Pinus elliotii*), ponderosa pine (*Pinus ponderosa*), lodgepole pine (*Pinus contorta*), and Monterey pine (*Pinus radiata*); Douglas-fir (*Pseudotsuga menziesii*); Western hemlock (*Tsuga canadensis*); Sitka spruce (*Picea glauca*); redwood (*Sequoia sempervirens*); true firs such as silver fir (*Abies amabilis*) and balsam fir (*Abies balsamea*); and cedars such as Western red cedar (*Thuja plicata*) and Alaska yellow-cedar (*Chamaecyparis nootkatensis*). Preferably, plants of the present invention are crop plants (for example, corn, alfalfa, sunflower, *Brassica*, soybean, cotton, safflower, peanut, sorghum, wheat, barley, rice, sorghum, rye, millet, tobacco, etc.), more preferably cereal plants, yet more preferably corn, wheat, barley, rice, sorghum, rye and millet plants.

It is recognized that with these nucleotide sequences, antisense constructions, complementary to at least a portion of the messenger RNA (mRNA) for a TRX or NTR sequence can be constructed. Antisense nucleotides are constructed to hybridize with the corresponding mRNA. Modifications of the antisense sequences may be made as long as the sequences hybridize to and interfere with expression of the corresponding mRNA. In this manner, antisense constructions having 70%, preferably 80%, more preferably 85% sequence identity to the corresponding antisensed sequences may be used. Furthermore, portions of the antisense nucleotides may be used to disrupt the expression of the target gene. Generally, sequences of at least 50 nucleotides, 100 nucleotides, 200 nucleotides, or greater may be used.

The nucleotide sequences of the present invention may also be used in the sense orientation to suppress the expression of endogenous genes in plants. Methods for suppressing gene expression in plants using nucleotide sequences in the sense orientation are known in the art. The methods generally involve transforming plants with a nucleotide construct comprising a promoter that drives expression in a plant operably linked to at least a portion of a nucleotide sequence that corresponds to the transcript of the endogenous gene. Typically, such a nucleotide sequence has substantial sequence identity to the sequence of the transcript of the endogenous gene, preferably greater than

about 65% sequence identity, more preferably greater than about 85% sequence identity, most preferably greater than about 95% sequence identity. See, U.S. Patent Nos. 5,283,184 and 5,034,323; herein incorporated by reference.

The following examples are presented by way of illustration, not by way of limitation.

## EXPERIMENTAL

### Example 1: Transformation and Regeneration of Maize with TRX and/or NTR Nucleotide Constructs

Immature maize embryos from greenhouse donor plants are bombarded with a plasmid containing a TRX and/or NTR nucleotide sequence operably linked to the promoter of the 19 KD  $\alpha$ -zein gene and the 70 KD heat-shock gene plus a plasmid containing the selectable marker gene PAT (Wohlleben *et al.* (1988) *Gene* 70:25-37) that confers resistance to the herbicide Bialaphos. Transformation is performed as follows. Media recipes follow below.

#### Preparation of Target Tissue

The ears are surface sterilized in 30% Clorox bleach plus 0.5% Micro detergent for 20 minutes, and rinsed two times with sterile water. The immature embryos are excised and placed embryo axis side down (scutellum side up), 25 embryos per plate, on 560Y medium for 4 hours and then aligned within the 2.5-cm target zone in preparation for bombardment.

#### Preparation of DNA

A plasmid vector comprising a TRX and/or NTR nucleotide sequence operably linked to the promoter of the 19 KD  $\alpha$ -zein gene and the 70 KD heat-shock gene is made. This plasmid DNA plus plasmid DNA containing a PAT selectable marker is precipitated onto 1.1  $\mu$ m (average diameter) tungsten pellets using a  $\text{CaCl}_2$  precipitation procedure as follows:

100  $\mu$ l prepared tungsten particles in water

10  $\mu$ l (1  $\mu$ g) DNA in TrisEDTA buffer (1  $\mu$ g total)



100 µl 2.5 M CaCl<sub>2</sub>

10 µl 0.1 M spermidine

- Each reagent is added sequentially to the tungsten particle suspension, while maintained on the multitube vortexer. The final mixture is sonicated briefly and allowed to incubate under constant vortexing for 10 minutes. After the precipitation period, the tubes are centrifuged briefly, liquid removed, washed with 500 ml 100% ethanol, and centrifuged for 30 seconds. Again the liquid is removed, and 105 µl 100% ethanol is added to the final tungsten particle pellet. For particle gun bombardment, the tungsten/DNA particles are briefly sonicated and 10 µl spotted onto the center of each macrocarrier and allowed to dry about 2 minutes before bombardment.

Particle Gun Treatment

The sample plates are bombarded at level #4 in particle gun #HE34-1 or #HE34-2. All samples receive a single shot at 650 PSI, with a total of ten aliquots taken from each tube of prepared particles/DNA.

15 Subsequent Treatment

- Following bombardment, the embryos are kept on 560Y medium for 2 days, then transferred to 560R selection medium containing 3 mg/liter Bialaphos, and subcultured every 2 weeks. After approximately 10 weeks of selection, selection-resistant callus clones are transferred to 288J medium to initiate plant regeneration. Following somatic embryo maturation (2-4 weeks), well-developed somatic embryos are transferred to medium for germination and transferred to the lighted culture room. Approximately 7-10 days later, developing plantlets are transferred to 272V hormone-free medium in tubes for 7-10 days until plantlets are well established. Plants are then transferred to inserts in flats (equivalent to 2.5" pot) containing potting soil and grown for 1 week in a growth chamber, subsequently grown an additional 1-2 weeks in the greenhouse, then transferred to classic 600 pots (1.6 gallon) and grown to maturity. Seeds are monitored and scored for TRX or NTR protein or activity levels.

Bombardment and Culture Media

- Bombardment medium (560Y) comprises 4.0 g/l N6 basal salts (SIGMA C-1416), 1.0 ml/l Eriksson's Vitamin Mix (1000X SIGMA-1511), 0.5 mg/l thiamine HCl, 120.0 g/l sucrose, 1.0 mg/l 2,4-D, and 2.88 g/l L-proline (brought to volume with D-I H<sub>2</sub>O)

RTA01/2107644v1

-41-

35718/190338 (5718-119)

10005429.120301

following adjustment to pH 5.8 with KOH); 2.0 g/l Gelrite (added after bringing to volume with D-I H<sub>2</sub>O); and 8.5 mg/l silver nitrate (added after sterilizing the medium and cooling to room temperature). Selection medium (560R) comprises 4.0 g/l N6 basal salts (SIGMA C-1416), 1.0 ml/l Eriksson's Vitamin Mix (1000X SIGMA-1511), 0.5 mg/l  
 5 thiamine HCl, 30.0 g/l sucrose, and 2.0 mg/l 2,4-D (brought to volume with D-I H<sub>2</sub>O following adjustment to pH 5.8 with KOH); 3.0 g/l Gelrite (added after bringing to volume with D-I H<sub>2</sub>O); and 0.85 mg/l silver nitrate and 3.0 mg/l bialaphos (both added after sterilizing the medium and cooling to room temperature).

Plant regeneration medium (288J) comprises 4.3 g/l MS salts (GIBCO 11117-  
 10 074), 5.0 ml/l MS vitamins stock solution (0.100 g nicotinic acid, 0.02 g/l thiamine HCL, 0.10 g/l pyridoxine HCL, and 0.40 g/l glycine brought to volume with polished D-I H<sub>2</sub>O) (Murashige and Skoog (1962) *Physiol. Plant.* 15:473), 100 mg/l myo-inositol, 0.5 mg/l zeatin, 60 g/l sucrose, and 1.0 ml/l of 0.1 mM abscisic acid (brought to volume with polished D-I H<sub>2</sub>O after adjusting to pH 5.6); 3.0 g/l Gelrite (added after bringing to  
 15 volume with D-I H<sub>2</sub>O); and 1.0 mg/l indoleacetic acid and 3.0 mg/l bialaphos (added after sterilizing the medium and cooling to 60°C). Hormone-free medium (272V) comprises 4.3 g/l MS salts (GIBCO 11117-074), 5.0 ml/l MS vitamins stock solution (0.100 g/l nicotinic acid, 0.02 g/l thiamine HCL, 0.10 g/l pyridoxine HCL, and 0.40 g/l glycine brought to volume with polished D-I H<sub>2</sub>O), 0.1 g/l myo-inositol, and 40.0 g/l sucrose  
 20 (brought to volume with polished D-I H<sub>2</sub>O after adjusting pH to 5.6); and 6 g/l bacto-agar (added after bringing to volume with polished D-I H<sub>2</sub>O), sterilized and cooled to 60° C.

## Example 2: *Agrobacterium-mediated* Transformation of Maize 25 with TRX and/or NTR Nucleotide Constructs

For *Agrobacterium-mediated* transformation of maize with a TRX and/or NTR nucleotide sequence, preferably the method of Zhao is employed (PCT patent publication WO98/32326), the contents of which are hereby incorporated by reference. Briefly,  
 30 immature embryos are isolated from maize and the embryos contacted with a suspension of *Agrobacterium*, where the bacteria are capable of transferring the TRX or NTR

nucleotide sequence, to at least one cell of at least one of the immature embryos (step 1: the infection step). In this step the immature embryos are preferably immersed in an *Agrobacterium* suspension for the initiation of inoculation. The embryos are co-cultured for a time with the *Agrobacterium* (step 2: the co-cultivation step). Preferably the  
5 immature embryos are cultured on solid medium following the infection step. Following this co-cultivation period an optional "resting" step is contemplated. In this resting step, the embryos are incubated in the presence of at least one antibiotic known to inhibit the growth of *Agrobacterium* without the addition of a selective agent for plant transformants (step 3: resting step). Preferably the immature embryos are cultured on solid medium  
10 with antibiotic, but without a selecting agent, for elimination of *Agrobacterium* and for a resting phase for the infected cells. Next, inoculated embryos are cultured on medium containing a selective agent and growing transformed callus is recovered (step 4: the selection step). Preferably, the immature embryos are cultured on solid medium with a selective agent resulting in the selective growth of transformed cells. The callus is then  
15 regenerated into plants (step 5: the regeneration step), and preferably calli grown on selective medium are cultured on solid medium to regenerate the plants.

Maize plants were transformed with nucleotide constructs comprising TRX, NTR, or both, by the *Agrobacterium*-mediated transformation method of Zhao essentially as described above. The constructs additionally comprised the 27 kDa gamma-zein  
20 promoter operably linked to each of the TRX or NTR nucleotide sequences to drive expression in seeds. The constructs used are listed in Table 1. The TRX nucleotide sequence is from SEQ ID NO: 13 and the NTR nucleotide sequence is from SEQ ID NO: 24.

Constructs 1, 2, and 4 find use in the overexpression of the respective TRX and  
25 NTR nucleotide sequences in maize seeds to improve the digestibility, energy availability and wet milling properties of the grain. Construct 3 finds use reducing NTR levels in the seed by co-suppression, and thus resulting in an increase in the hardness of the endosperm of the maize kernel. The truncated NTR nucleotide sequence in Construct 3 corresponds of nucleotides 1 to 744 SEQ ID NO:24.

30

Table 1  
Nucleotide Constructs for Maize Transformation

<u>Construct</u>	<u>Promoter</u>	<u>Expressed Sequence</u>
1	Gamma zein	TRX
2	Gamma zein	NTR
3	Gamma zein	NTRtr*
4	Gamma zein	TRX
	Gamma zein	NTR

\*Truncated NTR sequence

Example 3: Reduction of Disulfide Bonds in Different  
Forms of Grain Improves Digestibility

Ground corn was pretreated by overnight soak in a strong reducing agent, 1 mM DTT (dithiothreitol) versus a control solution without DTT. Enzyme digestible dry matter percent (EDDM%) of the grain was measured using the method of Boisen and Fernandez (1997) *Animal Feed Sci. Technol.* 68:277. As can be seen in Figure 1, pretreatment in DTT improves in vitro dry matter digestibility of mature grain sampled at black layer by about 12% units. Digestibility of immature grain, sampled at late dough / silage maturity, is equally improved by pretreatment with DTT. As overexpression of thioredoxin h and/or NTR promotes protein disulfide reduction, these data indicate that both mature grain and immature grain will benefit from reduced protein disulfide cross-links as a result of overexpression of thioredoxin h and/or NTR. Improvements in digestibility of immature grain through the methods of this invention can be extrapolated to concomitant improvements in digestibility of silage, about half of which consists of immature grain similar to the kernels tested at late dough stage.

#### Example 4: Detection of NTR and TRX in Maize Kernels

Transformed maize plants were prepared as described in Example 2. The plants were self pollinated ears containing the kernels ( $T_2$ ) were harvested. These  $T_2$  kernels were sown, allowed to grow into plants, and then self pollinated. The resulting  $T_3$  kernels were analyzed for the expression of NTR, TRX, or both, *via* Western dot blot analyses as described below.

**Protein extraction:** One whole endosperm (immature or mature) or endosperm chip was harvested from each kernel, ground and placed into labeled mega titer plates. Two-hundred microliters of 1X SDS protein extraction buffer were added to each megatiter tube and boiled for 3 minutes on a dry bath at 100°C. [SDS Protein Extraction Buffer (2X): Combine 40 mL 20% aqueous SDS solution, 40 mL 0.5M Tris pH 6.8 and 120 mL deionized, distilled sterile water. Dilute to 1X and add Dithiothreitol (DTT) to 10 mM immediately before use.] After boiling, the samples were returned to the mega titer plate in their correct positions and were centrifuged at 3000 rpm for 20 minutes. The supernatant of each sample was then collected and placed in fresh tubes in a new mega titer plate, retaining plate position.

**Dot blot analysis:** Nitrocellulose membranes (0.45  $\mu$ m) were prepared for each set of 96 samples by cutting to size and appropriate labeling. Three microliters of each sample were blotted onto the appropriate membrane using an 8 channel pipettor. After all 96 blots were made to each membrane, the membrane was allowed to dry for approximately 5 minutes. Then, each dry membrane was placed into deionized, distilled sterile water until the membrane was fully wetted (determined by disappearance of sample dots). Each fully wetted membrane was then placed into approximately 30mL of 3% milk solution and gently agitated for 10 minutes on a platform shaker. [3% Milk Solution: Dissolve 15 g of nonfat dry milk in 250mL TBST buffer (see below).] Following this blocking period, the milk was discarded and replaced by a 1:1000 solution of Thioredoxin Reductase primary antibody and/or Thioredoxin h primary antibody in 3% milk solution for overnight incubation. Incubation occurred at 4°F with gentle agitation on a platform shaker in a covered container. The primary antibody solution was removed the next morning. The membrane was then washed 3 times with plain 3% milk



Table 2  
Overexpression of NTR in the Immature Endosperm of Individual Maize Kernels (T<sub>3</sub>)  
Harvested From T<sub>2</sub> Plants

T <sub>2</sub> Plant	No. of Kernels (T <sub>3</sub> ) Overexpressing NTR in Immature Endosperm	Total No. of Kernels Assayed
R2	7	8
R3	8	8
R4	8	8
R5	6	8
R5	7	8
R6	8	8
R7	7	8
R8	7	8
R9	8	8

Example 6: Overexpression of TRX in  
Immature Maize Kernels

TRX expression in immature endosperm from maize plants transformed with Construct 1 (see Example 2) was determined. Immature endosperm was isolated from individual maize kernels (T<sub>3</sub>) harvested at 22 days after pollination. TRX protein levels were analyzed as described in Example 4. TRX protein was not detected in immature endosperm from non-transformed control plants. The results of the analyses are indicated in Table 3. The results indicate that the transformed plants overexpressed TRX in immature endosperm. The results also indicate that not all of the T<sub>2</sub> plants are homozygous for the TRX transgene.

Table 3  
Overexpression of TRX in the Immature Endosperm of Individual Maize Kernels (T<sub>3</sub>)  
Harvested From T<sub>2</sub> Plants

T <sub>2</sub> Plant	No. of Kernels (T <sub>3</sub> ) Overexpressing TRX in Immature Endosperm	Total No. of Kernels Assayed
X1	8	8
X2	7	8
X3	5	8
X4	4	8
X5	8	8
X6	8	8
X7	7	8
X8	5	8
X9	3	8

5

Example 7: Overexpression of NTR/TRX in  
Immature Maize Kernels

- 10 NTR and TRX expression in immature endosperm from maize plants transformed with Construct 4 (see Example 2) was determined. Immature endosperm was isolated from individual maize kernels (T<sub>3</sub>) harvested at 22 days after pollination. NTR and TRX protein levels were analyzed as described in Example 4. NTR and TRX protein was not detected in immature endosperm from non-transformed control plants. The results of the
- 15 analyses are indicated in Table 4. The results indicate that the transformed plants overexpressed NTR and TRX in immature endosperm. The results also indicate that not all of the T<sub>2</sub> plants are homozygous for the NTR and TRX transgenes.



Table 4  
Overexpression of NTR and TRX in the Immature Endosperm of Individual Maize  
Kernels (T<sub>3</sub>) Harvested From T<sub>2</sub> Plants

T <sub>2</sub> Plant	No. of Kernels (T <sub>3</sub> ) Overexpressing NTR and TRX in Immature Endosperm	Total No. of Kernels Assayed
RX1	7	8
RX3	8	8
RX4	4	8
RX5	5	8
RX6	8	8
RX7	5	8
RX8	8	8
RX10	8	8
RX11	7	8
RX14	8	8
RX15	8	8
RX16	8	8
RX16	8	8
RX19	8	8
RX20	4	8
RX21	5	8

Example 8: Overexpression of NTR in  
Mature Maize Kernels

NTR expression in mature endosperm from maize plants transformed with Construct 2 (see Example 2) was determined. Mature endosperm was isolated from individual maize kernels (T<sub>3</sub>). NTR protein levels were analyzed as described in Example 4. NTR protein was not detected in mature endosperm from non-transformed control plants. The results of the analyses are indicated in Table 5. The results indicate that the transformed plants overexpressed NTR in mature endosperm. The results also indicate that not all of the T<sub>2</sub> plants are homozygous for the NTR transgene.

Table 5  
Overexpression of NTR in the Endosperm of Individual Mature Maize Kernels (T<sub>3</sub>)  
Harvested From T<sub>2</sub> Plants

T <sub>2</sub> Plant	No. of Kernels (T <sub>3</sub> ) Overexpressing NTR in Endosperm	Total No. of Kernels Assayed
R2	6	8
R3	6	8
R4	4	8
R5	3	8
R6	8	8
R7	7	8
R8	7	8
R9	6	8

Example 9: Overexpression of TRX in  
Mature Maize Kernels

TRX expression in mature endosperm from maize plants transformed with Construct 1 (see Example 2) was determined. Mature endosperm was isolated from individual maize kernels (T<sub>3</sub>). TRX protein levels were analyzed as described in Example 4. TRX protein was not detected in mature endosperm from non-transformed control plants. The results of the analyses are indicated in Table 6. The results indicate that the transformed plants overexpressed TRX in mature endosperm. The results also indicate that not all of the T<sub>2</sub> plants are homozygous for the TRX transgene.

Table 6  
Overexpression of TRX in the Endosperm of Individual Mature Maize Kernels (T<sub>3</sub>)  
Harvested From T<sub>2</sub> Plants

T <sub>2</sub> Plant	No. of Kernels (T <sub>3</sub> ) Overexpressing TRX in Endosperm	Total No. of Kernels Assayed
X2 (ear 1)	7	8
X2 (ear 2)	2	8
X2 (ear 3)	4	8

Example 10: Overexpression of NTR/TRX in  
Mature Maize Kernels

NTR and TRX expression in mature endosperm from maize plants transformed  
with Construct 4 (see Example 2) was determined. Mature endosperm was isolated from  
individual maize kernels (T<sub>3</sub>). NTR and TRX protein levels were analyzed as described in  
Example 4. NTR and TRX proteins were not detected in mature endosperm from non-  
transformed control plants. The results of the analyses are indicated in Table 7. The  
results indicate that the transformed plants overexpressed NTR and TRX in mature  
endosperm. The results also indicate that not all of the T<sub>2</sub> plants are homozygous for the  
NTR transgene.

Table 7  
Overexpression of NTR and TRX in the Endosperm of Individual Mature Maize Kernels  
(T<sub>3</sub>) Harvested From T<sub>2</sub> Plants

T <sub>2</sub> Plant	No. of Kernels (T <sub>3</sub> ) Overexpressing NTR and TRX in Endosperm	Total No. of Kernels Assayed
RX1	6	8
RX3	8	8
RX5	5	8
RX6	8	8
RX8	7	8
RX10	8	8
RX14	8	8
RX16	8	8
RX20	8	8

Example 11: Mature Maize Kernels Overexpressing  
TRX and NTR have Improved Digestibility

Enzyme digestible dry matter percent (EDDM%) of the grain was determined as  
described in Example 3. T<sub>3</sub> maize kernels overexpressing NTR, TRX, and both NTR and  
TRX were analyzed for digestibility as determined by EDDM%. The transformed plants

were prepared as described in Example 2. Kernels from wild-type segregants of the transformed plants were used as controls. The results of the digestibility analyses are provided in Tables 8-11.

- 5 With kernels from the wild-type segregants (Table 8), digestibility was significantly increased ( $p=0.001$ ) in wild-type kernels when there was a DTT pretreatment prior to digestion. These results are similar to those described in Example 3.

Table 8

10 Digestibility (4h-EDDM (%)) of Kernels of Wild-Type Segregants from Maize Plants Transformed with NTR, TRX, NTR/TRX

Event	-DTT	SD	+DTT	SD	Diff.*
RX1-WT	62.7	2.3	67.0	3.5	4.3
RX8-WT	62.1	3.4	65.0	2.0	2.8
RX10-WT	61.4	1.4	65.3	1.5	3.8
RX9-WT	63.6	2.0	67.8	1.7	4.1
R2-WT	61.7	1.0	67.8	2.7	6.1
R3-WT	61.7	1.1	69.2	4.2	7.4
X2-WT	57.8	4.0	68.9	3.7	11.1
X2-WT	60.5	3.0	73.1	3.7	12.6
AVG	61.5	1.7	68.0	2.6	6.5

\*t-test for difference between +/-DTT,  $p=0.001$ .

15

- When kernels were overexpressing NTR (Table 9), the digestibility of the kernels in the absence a DTT pretreatment was significantly increased ( $p=0.000$ ) over the digestibility of kernels from the wild-type segregants. This result indicates that expression of NTR in maize kernels can increase the digestibility of the kernels as evidenced by an increase in EDDM%. The DTT pretreatment further increased the digestibility of the NTR overexpressing kernels.
- 20

Table 9

Digestibility (4h-EDDM (%)) of Maize Kernels Overexpressing NTR

Event	-DTT	SD	+DTT	SD	Diff.*
R1	64.8	1.4	64.2	2.8	-0.6
R1	65.2	1.9	70.2	2.9	4.9
R3	67.3	2.0	68.8	2.8	1.4
R4	67.6	1.6	73.1	2.9	5.5
R6	66.3	2.5	69.3	2.4	3.0
R6	72.3	0.3	69.4	0.9	-2.9
R7	63.8	3.3	67.1	2.9	3.3
R7	64.5	4.1	66.2	0.3	1.8
R8	68.6	4.7	74.1	1.1	5.4
R8	65.2	3.5	67.0	1.3	1.7
R9	66.2	1.8	67.9	3.9	1.7
<b>AVG</b>	<b>66.5</b>	<b>2.4</b>	<b>68.8</b>	<b>2.9</b>	<b>2.3</b>

5

\*t-test for difference between +/-DTT,  $p=0.007$ .t-test for difference between -DTT in Table 9 (NTR) and -DTT (WT) in Table 8,  $p=0.000$ .

10

In Table 10, the digestibility results from kernels overexpressing TRX are provided. In the absence of DTT, the digestibility of the kernels was not significantly different from the digestibility of kernels from wild-type segregants. While the results in Table 10 do not show that overexpression of TRX alone increased digestibility, it is possible that the TRX expression might not have been high enough to affect digestibility in the EDM assay. Thus, further increasing the expression of TRX by, for example, using a stronger promoter to drive the expression of the TRX nucleotide sequence in the kernels, may lead to an increase in digestibility.

15

Table 10

Digestibility (4h-EDDM (%)) of Maize Kernels Overexpressing TRX

Event	-DTT	SD	+DTT	SD	Diff.*
X1	62.0	2.8	69.1	3.6	7.1
X1	63.0	3.2	70.7	1.0	7.7
X1	62.4	2.5	73.5	2.5	11.1
X1	59.5	2.7	74.3	3.0	14.8
X2	58.6	2.3	67.6	5.1	9.0
X2	62.5	2.3	69.0	3.4	6.6
X2	61.5	1.2	62.5	2.3	1.0
AVG	61.3	1.7	69.5	3.9	8.2

5

\*t-test for difference between +/-DTT,  $p=0.001$ .t-test for difference between -DTT in Table 10 (TRX) and -DTT (WT) in Table 8,  $p=0.440$ .

- 10 The digestibility of kernels overexpressing both NTR and TRX was determined (Table 11). Similar to the digestibility of kernels overexpressing NTR, the digestibility of NTR/TRX overexpressing kernels was significantly increased ( $p=0.000$ ) above the digestibility of kernels from the wild-type segregants. Furthermore, the digestibility of the NTR/TRX overexpressing kernels was significantly increased ( $p=0.087$ ) over the
- 15 digestibility of the NTR overexpressing kernels. These results indicate that the digestibility of kernels overexpressing NTR, and kernels overexpressing both NTR and TRX, is increased significantly, when compared to the digestibility of kernels from wild-type plants.

Table 11

Digestibility (4h-EDDM (%)) of Maize Kernels Overexpressing Both NTR and TRX

Event	-DTT	SD	+DTT	SD	Diff.*
RX3	68.0	1.0	71.0	0.6	3.0
RX5	68.5	1.6	71.0	1.6	2.5
RX8	68.0	1.7	65.7	3.2	-2.3
RX10	65.3	3.2	71.8	2.1	6.6
RX14	65.0	1.6	71.5	2.0	6.5
RX15	72.3	2.3	75.7	1.3	3.4
RX15	67.6	2.7	70.6	1.1	3.0
RX15	73.6	2.5	73.9	2.0	0.4
RX16	69.9	0.8	72.3	0.8	2.4
RX17	64.1	0.6	66.5	0.7	2.4
AVG	68.2	3.1	71.0	3.0	2.8

\*t-test for difference between +/-DTT,  $p=0.004$ .

t-test for difference between -DTT in Table 11 (NTR/TRX) and -DTT (WT) in Table 8,  $p=0.000$ .

t-test for difference between -DTT in Table 11 (NTR/TRX) and -DTT (NTR) in Table 9,  $p=0.087$ .

The results disclosed herein demonstrate that the methods of the invention can be used to produce corn kernels with improved digestibility. Additionally, Examples 5-7 indicated that NTR and TRX can be overexpressed in immature endosperm. Therefore, similar improvements on the digestibility of immature kernels overexpressing NTR and NTR/TRX are also expected. Finally, improvements in the digestibility of immature grain through the methods of this invention can be extrapolated to concomitant improvements in digestibility of silage, about half of which consists of immature grain similar to the kernels tested at late dough stage (Figure 1).

Example 12: Digestibility of Mature Maize Kernels is Correlated with NTR Expression  
in the Kernels

The results provided in Example 11 indicate that the overexpression of NTR and NTR/TRX in grain increases the digestibility of grain. To verify that the expression level of NTR in mature endosperm is correlated with digestibility of kernels, endosperm chips were isolated from T<sub>3</sub> maize kernels harvested from a single T<sub>2</sub> NTR transformation event (R3). The NTR protein level in each endosperm chip was determined using the Western dot blot method described in Example 4. The results of a typical dot blot analysis are depicted in Figure 2. Individual kernels were then designated as no expression, intermediate expression, and high expression of NTR protein based on the Western dot blot results with the endosperm chips. The remaining portions of the no expression, intermediate expression, and high expression kernels were grouped according to expression levels. Each group of kernels was then analyzed separately for digestibility as described in Example 3. The results of those analyses are graphically depicted in Figure 3. In the absence of DTT, the no NTR expression kernels displayed the lowest level of digestibility, the intermediate NTR expression kernels displayed an intermediate level of digestibility, and the high NTR expression kernels displayed the highest level of digestibility. The results demonstrate that the level of NTR protein in the endosperm is positively correlated with the digestibility of the kernel.

When all three groups of kernels were pretreated with DTT, the digestibility of each group of kernels increased to approximately the same level (Figure 3). This result suggests that it is possible to further reduce the disulfides in the kernel. Therefore, further increases in the digestibility can be expected with further increases in the expression of NTR and/or TRX.

All publications and patent applications mentioned in the specification are indicative of the level of those skilled in the art to which this invention pertains. All publications and patent applications are herein incorporated by reference to the same extent as if each individual publication or patent application was specifically and individually indicated to be incorporated by reference.



Although the foregoing invention has been described in some detail by way of illustration and example for purposes of clarity of understanding, it will be obvious that certain changes and modifications may be practiced within the scope of the appended claims.

10005429-120301